

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Douglas T. ROSS et al.

Serial No. NOT YET ASSIGNED

Filed: August 14, 1998

For: PIPECOLIC ACID DERIVATIVES FOR VISION AND MEMORY DISORDERS

TRANSMITTAL LETTER

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter  
(2) PTO Form-1082  
(3) 172 page Application consisting of:  
126 pages of Textual Specification  
36 pages of 26 Claims  
1 Abstract of the Disclosure  
9 sheets of drawings  
(4) Executed Inventor's Declaration  
(5) Assignment with Recordation sheet  
(6) Check No. 10143 \$ 922.00 for Government Filing Fee  
(7) Check No. 10144 \$ 40.00 for Recordation of Assignment

Please charge any required fee, or credit any overpayment, in connection with this matter to deposit Account No. 14-0112.

Respectfully submitted,

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By:

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Registration No. 26,965

Date: August 14 , 1998

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jc588 U.S. PTO  
09/134417  
08/14/98

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FORM PTO-1082

TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Assistant Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Re: New U.S. Patent Application  
For: **PIPECOLIC ACID DERIVATIVES FOR  
VISION AND MEMORY DISORDERS**  
Inventor(s): Douglas T. ROSS et al.  
Attorney Docket: 22789-XS

Sir:

Attached hereto is the application identified above, including:

172 Pages Application Consisting of:  
126 Pages of Textual Specification  
36 Pages of 26 Claims  
1 Abstract of the Disclosure  
9 Sheets of drawings.  
☒ Executed Inventor's Declaration  
☒ Assignment and cover sheet

The Government filing fee\* is calculated as follows:

Total Claims . . . . .	<u>26</u> - 20 = <u>6</u> x \$22.00 =	<u>\$132.00</u>
Independent Claims . . . . .	<u>2</u> - 3 = <u>0</u> x \$80.00 =	<u>\$0.00</u>
Base Fee . . . . .		<u>\$790.00</u>
Multiple Dependent Claim Fee (\$250.00) . . . . .		<u>\$ 0.00</u>
Subtotal . . . . .		<u>\$922.00</u>


**TOTAL FILING FEE\***

. . . . . \$922.00

- "Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:
- \_ will be filed at a later date.
- \_ is submitted herewith.
- Foreign priority is claimed under 35 U.S.C. § 119 from \_\_\_\_\_ Patent Application(s) No. \_\_\_\_\_ dated \_\_\_\_\_.
- \_ Priority document(s) will be submitted at a later date.
- \_ Priority document(s) is/are submitted herewith.
- Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- Formal drawing(s) is/are attached.
- x Formal drawing(s) will be submitted at a later date.
- x Assignment document(s) is/are submitted herewith; the recordation fee of \$40.00 per document is enclosed herewith.
- A Verified Translation will be submitted at a later date.
- No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- x Submitted herewith is a check in the amount of \$922.00.

Respectfully submitted,

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GMB/ph (1082)

Title:

PIPECOLIC ACID DERIVATIVES  
FOR VISION AND MEMORY DISORDERS

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202-775-8383

BACKGROUND OF THE INVENTION

5

1. Field of Invention

This invention relates to pharmaceutical compositions and methods for treating vision loss, preventing vision degeneration, and promoting vision  
10 regeneration ("neopsis") using low molecular weight, small molecule derivatives.

2. Description of Related Art

The visual system is composed of the eyes, ocular  
15 adnexa and the visual pathways. Dysfunction of the visual system may lead to permanent or temporary visual impairment, i.e. a deviation from normal in one or more functions of the eye. Visual impairment manifests itself in various ways and includes a broad  
20 range of visual dysfunctions and disturbances. Without limitation, these dysfunctions and disturbances include partial or total loss of vision, the need for correction of visual acuity for objects near and far, loss of visual field, impaired ocular  
25 motility without diplopia (double vision), impaired or skewed color perception, limited adaptation to light and dark, diminished accommodation, metamorphopsic

distortion, impaired binocular vision, paresis of accommodation, iridoplegia, entropion, ectropion, epiphora, lagophthalmos, and scarring. See *Physicians' Desk Reference (PDR) for Ophthalmology*, 16th Edition, 6:47 (1988). The visual system may be adversely affected by various ophthalmologic disorders, diseases, injuries, and complications, including, without limitation, genetic disorders; [non-genetic disorders;] disorders associated with aging or degenerative diseases; disorders correlating to physical injury to the eye, head, or other parts of the body resulting from external forces; disorders resulting from environmental factors; disorders resulting from a broad range of diseases; and combinations of any of the above.

The visual system is a complex system composed of numerous components. Visual impairment can involve the entire visual system, any one component, or any combination of components, depending upon the precise nature of the circumstances. The eye is composed of a lens, which is suspended in the zonules of Zinn and is focused by the ciliary body. The ciliary body also secretes aqueous humor, which fills the posterior chamber, passes through the pupil into the anterior chamber, then drains primarily via the canal of Schlemm. The iris regulates the quantity of light

entering the eye by adjusting the size of its central opening, the pupil. A visual image is focused onto the retina, the fovea centralis being the retinal area of sharpest visual acuity. The conjunctiva is the mucus membrane which lines the eyelids and the eyeball, and ends abruptly at the limbus conjunctivae, the edge of the conjunctiva overlapping the cornea. The cornea is the clear, transparent anterior portion of the fibrous coat of the eye; it is important in light refraction and is covered with an epithelium that differs in many respects from the conjunctival epithelium.

The retina is the innermost, light sensitive portion of the eye, containing two types of photoreceptors, cones, which are responsible for color vision in brighter light, and rods, which are essential for vision in dim light but do not perceive colors. After light passes through the cornea, lens system, and the vitreous humor, it enters the retina from the inside; that is, it passes through the ganglion cells and nerve fibers, the inner and outer plexiform layers, the inner and outer nuclear layers, and the internal and external limiting membranes before it finally reaches the layer of photoreceptors located near the outside of the retina, just inside the outermost pigment epithelium layer. The cells of

the pigment epithelium layer act as an anatomical barrier to liquids and substances located outside of the eye, forming the "blood-retina" barrier, and provide nourishment, oxygen, a source of functionally useful substances like vitamin A, and phagocytosis of decomposition products to photoreceptor cells. There is no anatomical connection between the pigment epithelium and the photoreceptor layer, permitting separation of the layers in some pathological situations.

When rods or cones are excited by light, signals are transmitted through successive neurons in the retina itself, into the optic nerve fibers, and ultimately to the cerebral cortex. Both rods and cones contain molecules that decompose on exposure to light and, in the process, excite the nerve fibers leading from the eye. The molecule in rods is rhodopsin. The three light-sensitive molecules in cones, collectively called iodopsin, have compositions only slightly different from that of rhodopsin and are maximally excited by red, blue, or green light, respectively.

Neither rods nor cones generate action potentials. Rather, the light-induced membrane hyperpolarization generated in the outer, photosensitive segment of a rod or cone cell is



transmitted from the outer segment through the inner segment to the synaptic body by direct conduction of the electrical voltage itself, a process called electrotonic conduction. At the synaptic body, the membrane potential controls the release of an unknown transmitter molecule. In low light, rod and cone cell membranes are depolarized and the rate of transmitter release is greatest. Light-induced hyperpolarization causes a marked decrease in the release of transmitter molecules.

The transmitters released by rod and cone cells induce signals in the bipolar neurons and horizontal cells. The signals in both these cells are also transmitted by electrotonic conduction and not by action potential.

The rod bipolar neurons connect with as many as 50 rod cells, while the dwarf and diffuse bipolar cells connect with one or several cone cells. A depolarizing bipolar cell is stimulated when its connecting rods or cones are exposed to light. The release of transmitter molecules inhibits the depolarizing bipolar cell. Therefore, in the dark, when the rods and cones are secreting large quantities of transmitter molecules, the depolarizing bipolar cells are inhibited. In the light, the decrease in release of transmitter molecules from the rods and

cones reduces the inhibition of the bipolar cell, allowing it to become excited. In this manner, both positive and negative signals can be transmitted through different bipolar cells from the rods and cones to the amacrine and ganglion cells.

As their name suggests, horizontal cells project horizontally in the retina, where they may synapse with rods, cones, other horizontal cells, or a combination of cells types. The function of horizontal cells is unclear, although some mechanism in the convergence of photoreceptor signaling has been postulated.

All types of bipolar cells connect with ganglion cells, which are of two primary types. A-type ganglion cells predominately connect with rod bipolar cells, while B-type ganglion cells predominately connect with dwarf and diffuse bipolar cells. It appears that A-type ganglion cells are sensitive to contrast, light intensity, and perception of movement, while B-type ganglion cells appear more concerned with color vision and visual acuity.

Like horizontal cells, the Amacrine cells horizontally synapse with several to many other cells, in this case bipolar cells, ganglion cells, and other Amacrine cells. The function of Amacrine cells is also unclear.

The axons of ganglion cells carry signals into the nerve fiber layer of the eye, where the axons converge into fibers which further converge at the optic disc, where they exit the eye as the optic nerve. The ganglion cells transmit their signals through the optic nerve fibers to the brain in the form of action potentials. These cells, even when unstimulated, transmit continuous nerve impulses at an average, baseline rate of about 5 per second. The visual signal is superimposed onto this baseline level of ganglion cell stimulation. It can be either an excitatory signal, with the number of impulses increasing above the baseline rate, or an inhibitory signal, with the number of nerve impulses decreasing below the baseline rate.

As part of the central nervous system, the eye is in some ways an extension of the brain; as such, it has a limited capacity for regeneration. This limited regeneration capacity further complicates the challenging task of improving vision, resolving dysfunction of the visual system, and/or treating or preventing ophthalmologic disorders. Many disorders of the eye, such as retinal photic injury, retinal ischemia-induced eye injury, age-related macular degeneration, free radical-induced eye diseases, as well as numerous other disorders, are considered to be

entirely untreatable. Other ophthalmologic disorders, e.g., disorders causing permanent visual impairment, are corrected only by the use of ophthalmic devices and/or surgery, with varying degrees of success.

5           The immunosuppressant drugs FK506, rapamycin, and cyclosporin are well known as potent T-cell specific immunosuppressants, and are effective against autoimmunity, transplant or graft rejection, inflammation, allergic responses, other autoimmune or  
10           immune-mediated diseases, and infectious diseases. It has been disclosed that application of Cyclosporin, FK-506, Rapamycin, Buspirone, Spiperone, and/or their derivatives are effective in treating some ophthalmologic disorders of these types. Several  
15           ophthalmologic disorders or vision problems are known to be associated with autoimmune and immunologically-mediated activities; hence, immunomodulatory compounds are expected to demonstrate efficacy for treating those types of ophthalmologic disorders or vision  
20           problems.

          The effects of FK506, Rapamycin, and related agents in the treatment of ophthalmologic diseases are disclosed in several U.S. patents (Goulet et al., U.S. Patent No. 5,532,248; Mochizuki et al., U.S. Patent  
25           No. 5,514,686; Luly et al., U.S. Patent No. 5,457,111; Russo et al., U.S. Patent No. 5,441,937; Kulkarni,

U.S. Patent No. 5,387,589; Asakura et al., U.S. Patent No. 5,368,865; Goulet et al., U.S. Patent No. 5,258,389; Armistead et al., U.S. Patent No. 5,192,773; Goulet et al., U.S. Patent No. 5,189,042; and Fehr, U.S. Patent No. 5,011,844). These patents claim FK506 or Rapamycin related compounds and disclose the known use of FK506 or Rapamycin related compounds in the treatment of ophthalmologic disorders in association with the known immunosuppressive effects of FK506 and Rapamycin. The compounds disclosed in these patents are relatively large. Further, the cited patents relate to immunomodulatory compounds limited to treating autoimmunity or related diseases, or immunologically-mediated diseases, for which the efficacy of FK506 and Rapamycin is well known.

Other U.S. patents disclose the use of cyclosporin, Spiperone, Buspirone, their derivatives, and other immunosuppressive compounds for use in the treatment of ophthalmologic diseases (Sharpe et al., U.S. Patent No. 5,703,088; Sharpe et al., U.S. Patent No. 5,693,645; Sullivan, U.S. Patent No. 5,688,765; Sullivan, U.S. Patent No. 5,620,921; Sharpe et al., U.S. Patent No. 5,574,041; Eberle, U.S. Patent No. 5,284,826; Sharpe et al., U.S. Patent No. 5,244,902; Chiou et al., U.S. Patent Nos. 5,198,454 and

5,194,434; and Kaswan, U.S. Patent No. 4,839,342). These patents also relate to compounds useful for treating autoimmune diseases and cite the known use of cyclosporin, Spiperone, Buspiron, their derivatives, and other immunosuppressive compounds in treating ocular inflammation and other immunologically-mediated ophthalmologic diseases.

The immunosuppressive compounds disclosed in the prior art suppress the immune system, by definition, and also exhibit other toxic side effects. Accordingly, there is a need for non-immunosuppressant, small molecule compounds, and compositions and methods for use of such compounds, that are useful in improving vision; preventing, treating, and/or repairing visual impairment or dysfunction of the visual system; and preventing, treating, and/or resolving ophthalmologic disorders.

There are also a number of patents on non-immunosuppressive compounds disclosing methods of use for permitting or promoting wound healing (whether from injury or surgery); controlling intraocular pressure (often resulting from glaucoma); controlling neurodegenerative eye disorders, including damage or injury to retinal neurons, damage or injury to retinal ganglion cells, and macular degeneration; stimulating neurite outgrowth; preventing or reducing oxidative

damage caused by free radicals; and treating impaired oxygen and nutrient supply, as well as impaired waste product removal, resulting from low blood flow. These non-immunosuppressive substances fall into one of two  
5 general categories: naturally occurring molecules, such as proteins, glycoproteins, peptides, hormones, and growth factors; and synthetic molecules.

Within the group of naturally occurring non-immunosuppressive molecules, several hormones, growth  
10 factors, and signaling molecules have been patented for use as supplements to naturally occurring quantities of such molecules, as well as for targeting of specific cells where the particular molecule does not naturally occur in a mature individual. These  
15 patents generally claim methods of use for reducing or preventing the symptoms of ocular disease, or arresting or reversing vision loss.

Specifically, Louis et al., U.S. Patent Nos. 5,736,516 and 5,641,749, disclose the use of a glial  
20 cell line derived neurotrophic factor (GDNF) to stop or reverse the degeneration of retinal neurons (i.e. photoreceptors) and retinal ganglion cells caused by glaucoma, or other degenerative or traumatic retinal diseases or injuries. O'Brien, et al., U.S. Patent  
25 Nos. 5,714,459 and 5,700,909, disclose the use of a glycoprotein, Saposin, and its derivatives for

stimulating neurite outgrowth and increasing myelination. To stop or reverse degeneration of retinal neurons, LaVail et al., U.S. Patent No. 5,667,968, discloses the use of a variety of neurotrophic proteins, including brain-derived neurotrophic factor, ciliary neurotrophic factor, neurotrophin-3 or neurotrophin-4, acidic or basic fibroblast growth factors, interleukin, tumor necrosis factor- $\alpha$ , insulin-like growth factor-2 and other growth factors. Wong et al., U.S. Patent No. 5,632,984, discloses the use of interferons, especially interferon  $\alpha$ -2a, for treating the symptoms of macular degeneration by reducing hemorrhage and limiting neovascularization. Finally, Wallace et al., U.S. Patent No. 5,441,937, discloses the use of a lung-derived neurotrophic factor (NTF) to maintain the functionality of ciliary ganglion and parasympathetic neuron cells.

A key characteristic of factors derived from specific cell lines is their localization to specific cell lines or tissues; systemic treatment with these molecules would run a substantial risk of unintended, and potentially dangerous, effects in cell lines where the genes encoding these molecules are inactive. Similarly, hormones and growth factors often activate a large number of genes in many cell lines; again,



non-localized application of these molecules would run a substantial risk of provoking an inappropriate, and potentially dangerous, response.

Within the category of synthetic molecules, most of the patented compounds are immunosuppressive and disclose uses in treating inflammatory, autoimmune, and allergic responses, as discussed above. A few others are non-immunosuppressive and claim the ability to treat cellular degeneration, and in some cases promote cellular regeneration, most often in the context of their antioxidant properties.

Specifically, Tso et al., U.S. Patent No. 5,527,533, discloses the use of astaxanthin, a carotenoid antioxidant, for preventing or reducing photoreceptor damage resulting from the presence of free radicals. Similarly, Babcock et al., U.S. Patent No. 5,252,319, discloses the use of antioxidant aminosteroids for treating eye disease and injury, by increasing resistance to oxidative damage. Freeman, U.S. Patent No. 5,468,752, discloses the use of the antiviral phosphonylmethoxyalkylcytosines to reduce abnormally increased intraocular pressure.

Hamilton and Steiner disclose in U.S. Patent No. 5,614,547 novel pyrrolidine carboxylate compounds which bind to the immunophilin FKBP12 and stimulate nerve growth, but which lack immunosuppressive

effects. Unexpectedly, it has been discovered that these non-immunosuppressant compounds promote improvements in vision and resolve ophthalmologic disorders. Yet their novel small molecule structure and non-immunosuppressive properties differentiate them from FK506 and related immunosuppressive compounds found in the prior art.

Further, these compounds may be differentiated from the non-immunosuppressive compounds used to treat vision disorders by their novel small molecule structure and their lack of general, systemic effects. Naturally occurring hormones, growth factors, cytokines, and signaling molecules are generally multifunctional and activate many genes in diverse cell lines. The present compounds do not, thus avoiding the unexpected, and potentially dangerous, side effects of systemic use. Similarly, the present compounds also avoid the potential unexpected side effects of introducing cell line-specific molecules into other cell lines were they do not naturally occur.

SUMMARY OF THE INVENTION

The present invention relates to a method for treating a vision disorder, improving vision, treating  
5 memory impairment, or enhancing memory performance in an animal, which comprises administering to said animal an effective amount of a low molecular weight, small molecule pipecolic acid derivative.

The present invention further relates to a  
10 pharmaceutical composition which comprises:

- (i) an effective amount of a pipecolic acid derivative for treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance  
15 in an animal; and
- (ii) a pharmaceutically acceptable carrier.

Brief Description of the Drawings

5 Figure 1 A, B and C show that GPI 1046 protects retinal ganglion cells against degeneration following retinal ischemia.

10 Figure 2 shows that GPI 1046 prevents degeneration of optic nerve axons and myelin following retinal ischemia.

15 Figure 3 shows that GPI 1046 provides moderate protection against retinal ganglion cell death after optic nerve transection.

20 Figure 4 shows that GPI 1046 treatment duration significantly affects the process of optic nerve axonal degeneration after transection.

Figure 5 shows that GPI 1046 treatment produces a greater effect on optic nerve axons than ganglion cell bodies.

25 Figure 6 shows that GPI 1046 treatment for 28 days after optic nerve transection prevents myelin degeneration in the proximal stump.

Figure 7 shows that FKBP-12 immunohistochemistry labels oligodendroglia (large dark cells with fibrous processes), the cells which produce myelin, located  
5 between the fascicles of optic nerve fibers, and also some optic nerve axons.

Figure 8 shows GPI 1046 treatment for 28 days after optic nerve transection prevents myelin degeneration in  
10 the distal stump.

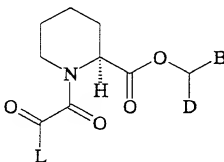
Figure 9 shows that 28 day treatment with GPI 1046 treatment beginning 8 weeks after onset of streptozotocin induced diabetes decreases the extent of  
15 neovascularization in the inner and outer retina and protects neurons in the inner nuclear layer (INL) and ganglion cell layer (GCL) from degeneration.

# DETAILED DESCRIPTION OF THE INVENTION

## Definitions

"Eye" refers to the anatomical structure responsible for vision in humans and other animals, and encompasses the following anatomical structures, without limitation: lens, vitreous body, ciliary body, posterior chamber, anterior chamber, pupil, cornea, iris, canal of Schlemm, zonules of Zinn, limbus, conjunctiva, choroid, retina, central vessels of the retina, optic nerve, fovea centralis, macula lutea, and sclera.

"GPI 1044" refers to a compound of formula



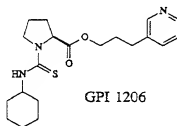
wherein B is 3-Phenylpropyl, D is 3-Phenylpropyl, and L is Phenyl.

"GPI 1102" refers to Compound 98, 4-phenyl-1-(3-phenylpropyl)butyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-

piperidinecarboxylate.

"GPI 1116" refers to Compound 103, 1-phenethyl-3-phenylpropyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate.

5 "GPI 1206" refers to a compound of formula



10

"Isomers" refer to different compounds that have the same molecular formula. "Stereoisomers" are isomers that differ only in the way the atoms are arranged in space. "Enantiomers" are a pair of stereoisomers that are non-superimposable mirror images of each other. "Diastereoisomers" are stereoisomers which are not mirror images of each other. "Racemic mixture" means a mixture containing equal parts of individual enantiomers. "Non-racemic mixture" is a mixture containing unequal parts of individual enantiomers or stereoisomers.

10

"Enhancing memory performance" refers to improving or increasing the mental faculty by which to register, retain or recall past experiences, knowledge, ideas, sensations, thoughts or impressions.

15

"Memory impairment" refers to a diminished mental registration, retention or recall of past experiences, knowledge, ideas, sensations, thoughts or impressions.

20

Memory impairment may affect short and long-term information retention, facility with spatial relationships, memory (rehearsal) strategies, and verbal retrieval and production. Common causes of

memory impairment are age, severe head trauma, brain anoxia or ischemia, alcoholic-nutritional diseases, and drug intoxications. Examples of memory impairment include, without limitation, benign forgetfulness, amnesia and any disorder in which memory deficiency is present, such as Korsakoff's amnesic psychosis, dementia and learning disorders.

"Neopsic factors" or "neopsics" refers to compounds useful in treating vision loss, preventing vision degeneration, or promoting vision regeneration.

"Neopsis" refers to the process of treating vision loss, preventing vision degeneration, or promoting vision regeneration.

"Ophthalmological" refers to anything about or concerning the eye, without limitation, and is used interchangeably with "ocular," "ophthalmic," "ophthalmologic," and other such terms, without limitation.

"Pharmaceutically acceptable salt, ester, or solvate" refers to a salt, ester, or solvate of a subject compound which possesses the desired pharmacological activity and which is neither biologically nor otherwise undesirable. A salt, ester, or solvate can be formed with inorganic acids such as acetate, adipate, alginate, aspartate, benzoate, benzenesulfonate, bisulfate, butyrate, citrate, camphorate, camphorsulfonate,



cyclopentanepropionate, digluconate, dodecylsulfate, ethanesulfonate, fumarate, glucoheptanoate, gluconate, glycerophosphate, hemisulfate, heptanoate, hexanoate, hydrochloride, hydrobromide, hydroiodide, 2-  
 5 hydroxyethanesulfonate, lactate, maleate, methanesulfonate, naphthylate, 2-naphthalenesulfonate, nicotinate, oxalate, sulfate, thiocyanate, tosylate and undecanoate. Examples of base salts, esters, or solvates include ammonium salts; alkali metal salts,  
 10 such as sodium and potassium salts; alkaline earth metal salts, such as calcium and magnesium salts; salts with organic bases, such as dicyclohexylamine salts; N-methyl-D-glucamine; and salts with amino acids, such as arginine, lysine, and so forth. Also,  
 15 the basic nitrogen-containing groups can be quarternized with such agents as lower alkyl halides, such as methyl, ethyl, propyl, and butyl chlorides, bromides, and iodides; dialkyl sulfates, such as dimethyl, diethyl, dibutyl, and diamyl sulfates; long  
 20 chain halides, such as decyl, lauryl, myristyl, and stearyl chlorides, bromides, and iodides; aralkyl halides, such as benzyl and phenethyl bromides; and others. Water or oil-soluble or dispersible products are thereby obtained.

25 "Preventing vision degeneration" refers to the ability to prevent degeneration of vision in patients newly diagnosed as having a degenerative disease

affecting vision, or at risk of developing a new degenerative disease affecting vision, and for preventing further degeneration of vision in patients who are already suffering from or have symptoms of a degenerative disease affecting vision.

"Promoting vision regeneration" refers to maintaining, improving, stimulating or accelerating recovery of, or revitalizing one or more components of the visual system in a manner which improves or enhances vision, either in the presence or absence of any ophthalmologic disorder, disease, or injury.

"Treating" refers to:

(i) preventing a disease and/or condition from occurring in a subject which may be predisposed to the disease and/or condition but has not yet been diagnosed as having it;

(ii) inhibiting the disease and/or condition, i.e., arresting its development; or

(iii) relieving the disease and/or condition, i.e., causing regression of the disease and/or condition.

"Vision" refers to the ability of humans and other animals to process images, and is used interchangeably with "sight", "seeing", and other such terms, without limitation.

"Vision disorder" refers to any disorder that affects or involves vision, including without

limitation visual impairment, orbital disorders, disorders of the lacrimal apparatus, disorders of the eyelids, disorders of the conjunctiva, disorders of the cornea, cataracts, disorders of the uveal tract, disorders of the retina, disorders of the optic nerve or visual pathways, free radical induced eye disorders and diseases, immunologically-mediated eye disorders and diseases, eye injuries, and symptoms and complications of eye disease, eye disorder, or eye injury.

"Visual impairment" refers to any dysfunction in vision including without limitation disturbances or diminution in vision (e.g., binocular, central, peripheral, scotopic), visual acuity for objects near and far, visual field, ocular motility, color perception, adaptation to light and dark, accommodation, refraction, and lacrimation. See Physician's Desk Reference (PDR) for Ophthalmology, 16<sup>th</sup> Edition, 6:47 (1988).

20

#### Methods of the Present Invention

The present invention relates to a method of treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance in an animal, which comprises administering to said animal an effective amount of a derivative.

The inventive methods are particularly useful for treating various eye disorders including but not limited to visual disorders, diseases, injuries, and complications, genetic disorders; disorders associated with aging or degenerative vision diseases; vision disorders correlating to physical injury to the eye, head, or other parts of the body resulting from external forces; vision disorders resulting from environmental factors; vision disorders resulting from a broad range of diseases; and combinations of any of the above.

In particular, the compositions and methods of the present invention are useful for improving vision, or correcting, treating, or preventing visual (ocular) impairment or dysfunction of the visual system, including permanent and temporary visual impairment, without limitation. The present invention is also useful in preventing and treating ophthalmologic diseases and disorders, treating damaged and injured eyes, and preventing and treating diseases, disorders, and injuries which result in vision deficiency, vision loss, or reduced capacity to see or process images, and the symptoms and complications resulting from same. The eye diseases and disorders which may be treated or prevented by the compositions and methods of the present invention are not limited with regard to the cause of said diseases or disorders.

Accordingly, said compositions and methods are applicable whether the disease or disorder is caused by genetic or environmental factors, as well as any other influences. The compositions and methods of the present invention are particularly useful for eye problems or vision loss or deficiency associated with all of the following, without limitation: aging, cellular or physiological degeneration, central nervous system or neurological disorder, vascular defects, muscular defects, and exposure to adverse environmental conditions or substances.

The compositions and methods of the present invention are particularly useful in correcting, treating, or improving visual impairment, without limitation. Visual impairment in varying degrees occurs in the presence of a deviation from normal in one or more functions of the eye, including (1) visual acuity for objects at distance and near; (2) visual fields; and (3) ocular motility without diplopia. See *Physicians' Desk Reference (PDR) for Ophthalmology*, 16th Edition, 6:47 (1988). Vision is imperfect without the coordinated function of all three. *Id.*

Said compositions and methods of use are also useful in correcting, treating, or improving other ocular functions including, without limitation, color perception, adaptation to light and dark, accommodation, metamorphopsia, and binocular vision.

The compositions and methods of use are particularly useful in treating, correcting, or preventing ocular disturbances including, without limitation, paresis of accommodation, iridoplegia, entropion, ectropion, epiphora, lagophthalmos, scarring, vitreous opacities, non-reactive pupil, light scattering disturbances of the cornea or other media, and permanent deformities of the orbit.

The compositions and methods of use of the present invention are also highly useful in improving vision and treating vision loss. Vision loss ranging from slight loss to absolute loss may be treated or prevented using said compositions and methods of use. Vision may be improved by the treatment of eye disorders, diseases, and injuries using the compositions and methods of the invention. However, improvements in vision using the compositions and methods of use are not so limited, and may occur in the absence of any such disorder, disease, or injury.

The compositions and methods of the present invention are also useful in the treatment or prevention of the following non-limiting exemplary diseases and disorders, and symptoms and complications resulting therefrom.

Vision disorders include but are not limited to the following:

visual impairment, such as diminished visual

acuity for objects near and far, visual fields, and ocular motility;

orbital disorders, such as orbital cellulitis, periorbital cellulitis, cavernous sinus thrombosis, and exophthalmos (proptosis);

disorders of the lacrimal apparatus, such as dacryostenosis, congenital dacryostenosis, and dacryocystitis (acute or chronic);

disorders of the eyelids, such as lid edema, blepharitis, ptosis, Bell's palsy, blepharospasm, hordeolum (stye), external hordeolum, internal hordeolum (meibomian stye), chalazion, entropion (inversion of the eyelid), ectropion (eversion of the eyelid), tumors (benign and malignant), xanthelasma, basil cell carcinoma, squamous cell carcinoma, meibomian gland carcinoma, and melanoma;

disorders of the conjunctiva, such as pinguecula, pterygium, and other neoplasms, acute conjunctivitis, chronic conjunctivitis, adult gonococcal conjunctivitis, neonatal conjunctivitis, trachoma (granular conjunctivitis or Egyptian ophthalmia), inclusion conjunctivitis (inclusion blenorrhea or swimming pool conjunctivitis), neonatal inclusion conjunctivitis, adult inclusion conjunctivitis, vernal keratoconjunctivitis, keratoconjunctivitis sicca (keratitis sicca or dry eye syndrome), episcleritis, scleritis, cicatricial pemphigoid (ocular cicatricial

pemphigoid or benign mucous membrane pemphigoid), and subconjunctival hemorrhage;

disorders of the cornea, such as superficial punctate keratitis, corneal ulcer, indolent ulcer, recurrent corneal erosion, corneal epithelial basement  
 5 membrane dystrophy, corneal endothelial cell dystrophy, herpes simplex keratitis (herpes simplex keratoconjunctivitis), dendritic keratitis, disciform keratitis, ophthalmic herpes zoster, phlyctenular  
 10 keratoconjunctivitis (phlyctenular or eczematous conjunctivitis), interstitial keratitis (parenchymatous keratitis), peripheral ulcerative keratitis (marginal keratolysis or peripheral rheumatoid ulceration), keratomalacia (xerotic  
 15 keratitis), xerophthalmia, keratoconus, bullous keratopathy;

cataracts, including developmental or congenital cataracts, juvenile or adult cataracts, nuclear cataract, posterior subcapsular cataracts;

20 disorders of the uveal tract, such as uveitis (inflammation of the uveal tract or retina), anterior uveitis, intermediate uveitis, posterior uveitis, iritis, cyclitis, choroiditis, ankylosing spondylitis, Reiter's syndrome, pars planitis, toxoplasmosis,  
 25 cytomegalovirus (CMV), acute retinal necrosis, toxocariasis, birdshot choroidopathy, histoplasmosis (presumed ocular histoplasmosis syndrome), Behcet's



syndrome, sympathetic ophthalmia, Vogt-Koyanagi-Harada syndrome, sarcoidosis, reticulum cell sarcoma, large cell lymphoma, syphilis, tuberculosis, juvenile rheumatoid arthritis, endophthalmitis, and malignant melanoma of the choroid;

disorders of the retina, such as vascular retinopathies (e.g., arteriosclerotic retinopathy and hypertensive retinopathy), central and branch retinal artery occlusion, central and branch retinal vein occlusion, diabetic retinopathy (e.g., proliferative retinopathy and non-proliferative retinopathy), macular degeneration of the aged (age-related macular degeneration or senile macular degeneration), neovascular macular degeneration, retinal detachment, retinitis pigmentosa, retinal photic injury, retinal ischemia-induced eye injury, and glaucoma (e.g., primary glaucoma, chronic open-angle glaucoma, acute or chronic angle-closure, congenital (infantile) glaucoma, secondary glaucoma, and absolute glaucoma);

disorders of the optic nerve or visual pathways, such as papilledema (choked disk), papillitis (optic neuritis), retrobulbar neuritis, ischemic optic neuropathy, toxic amblyopia, optic atrophy, higher visual pathway lesions, disorders of ocular motility (e.g., third cranial nerve palsies, fourth cranial nerve palsies, sixth cranial nerve palsies, internuclear ophthalmoplegia, and gaze palsies);

free radical induced eye disorders and diseases;  
and

immunologically-mediated eye disorders and diseases, such as Graves' ophthalmopathy, conical  
5 cornea, dystrophia epithelialis corneae, corneal leukoma, ocular pemphigus, Mooren's ulcer, scleritis, and sarcoidosis (See *The Merck Manual*, Sixteenth Edition, 217:2365-2397 (1992) and *The Eye Book*, Cassel, Billig, and Randall, The Johns Hopkins  
10 University Press (1998)).

The compositions and methods of the present invention are also useful in the treatment of the following non-limiting eye injuries, and symptoms and complications resulting therefrom: conjunctival and  
15 corneal foreign body injuries, corneal abrasion, intraocular foreign body injuries, lacerations, lid lacerations, contusions, lid contusions (black eye), trauma to the globe, laceration of the iris, cataract, dislocated lens, glaucoma, vitreous hemorrhage, orbital-floor fractures, retinal hemorrhage or  
20 detachment, and rupture of the eyeball, anterior chamber hemorrhage (traumatic hyphema), burns, eyelid burns, chemical burns, chemical burns of the cornea and conjunctiva, and ultraviolet light burns  
25 (sunburn). See *The Merck Manual*, Sixteenth Edition, 217:2364-2365 (1992).

The compositions and methods of the present

invention are also useful in treating and/or preventing the following non-limiting exemplary symptoms and complications of eye disease, eye disorder or eye injury: subconjunctival hemorrhages, vitreous hemorrhages, retinal hemorrhages, floaters, retinal detachments, photophobia, ocular pain, scotomas (negative and positive), errors of refraction, emmetropia, ametropia, hyperopia (farsightedness), myopia (nearsightedness), astigmatism, anisometropia, aniseikonia, presbyopia, bleeding, recurrent bleeding, sympathetic ophthalmia, inflammation, swelling, redness of the eye, irritation of the eye, corneal ulceration and scarring, iridocyclitis, perforation of the globe, lid deformities, exophthalmos, impaired mobility of the eye, lid swelling, chemosis, loss of vision, including partial or total blindness, optic neuritis, fever, malaise, thrombophlebitis, cavernous sinus thrombosis, panophthalmitis, infection of the meninges and brain, papilledema, severe cerebral symptoms (headache, decreased level of consciousness, and convulsions), cranial nerve palsies, epiphora (chronic or persistent tearing), copious reflux of mucus or pus, follicular subconjunctival hyperplasia, corneal vascularization, cicatrization of the conjunctiva, cornea, and lids, pannus, hypopyon, lagophthalmos, phlyctenules, rubeosis iridis, bitemporal hemianopia, and homonymous

hemianopia. See *The Merck Manual, Sixteenth Edition*, 217:2362-2363 (1992).

The derivative may be administered in combination with an effective amount of one or more factor(s) useful in treating vision disorder, improving vision, treating memory impairment, or enhancing memory performance.

In a preferred embodiment, the factor(s) to be combined with the derivative is/are selected from the group consisting of immunosuppressants for treating autoimmune, inflammatory, and immunologically-mediated disorders; wound healing agents for treating wounds resulting from injury or surgery; antiglaucomatous medications for treating abnormally elevated intraocular pressure; neurotrophic factors and growth factors for treating neurodegenerative disorders or stimulating neurite outgrowth; compounds effective in limiting or preventing hemorrhage or neovascularization for treating macular degeneration; and antioxidants for treating oxidative damage to eye tissues.

Pharmaceutical Compositions of the Present Invention

25       The present invention also relates to a pharmaceutical composition comprising:

- (i) an effective amount of a derivative for treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance in an animal; and

(ii) a pharmaceutically acceptable carrier.

5       The derivative may be administered in combination with an effective amount of one or more factor(s) useful in treating vision disorders, improving vision, treating memory impairment, or enhancing memory performance.

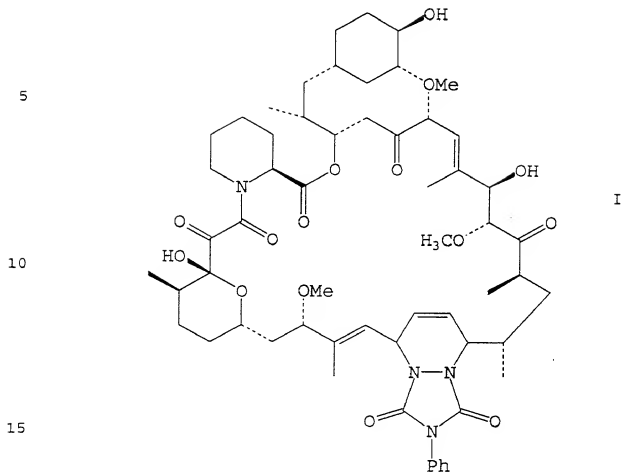
### PIPECOLIC ACID DERIVATIVES

The pipecolic acid derivatives used in the methods and pharmaceutical compositions of the present invention have an affinity for FKBP-type immunophilins, such as FKBP12. When a pipecolic acid derivative binds to an FKBP-type immunophilin, it has been found to inhibit the prolyl-peptidyl *cis-trans* isomerase, or rotamase, activity of the binding protein. Unexpectedly, the compounds have also been found to stimulate hair growth. These rotamase inhibiting compounds may be immunosuppressive or non-immunosuppressive. Examples of useful compounds are set forth below.

#### COMPOUND 1

Ocain et al., *Biochemical and Biophysical Research Communications*, Vol. 192, No. 3, 1993, incorporated herein by reference, discloses an exemplary pipecolic acid derivative represented by Formula I. The compound was synthesized at Wyeth-Ayerst by Dr. Phil Hughes by reaction of 4-phenyl-1,2,4-triazoline-3,5-dione with rapamycin.

## FORMULA I



Way-124,466

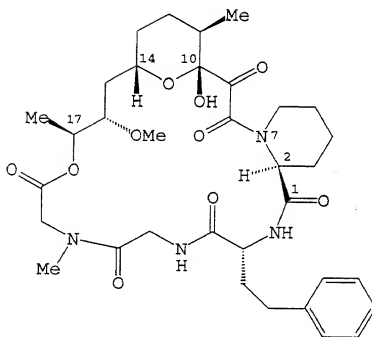
20

COMPOUND 2

Chakraborty et al., *Chemistry and Biology*, Vol. 2, pp. 157-161, March 1995, incorporated herein by reference, discloses an exemplary pipecolic acid derivative represented by Formula II.

25

## FORMULA II



II

RAP-Pa

COMPOUNDS 3-5

Ikeda et al., *J. Am. Chem. Soc.*, Vol. 116, pp. 4143-4144, 1994, incorporated herein by reference, discloses exemplary pipecolic acid derivatives represented by Formula III and Table I.



## FORMULA III

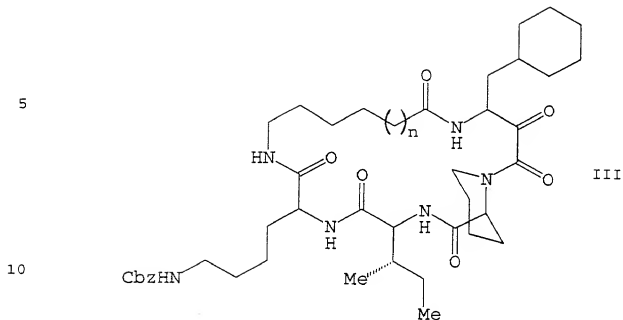


TABLE I

15

Compound	Structure
3	$n = 1$
4	$n = 2$
5	$n = 3$

20

COMPOUNDS 6-9

Wang et al., *Bioorganic and Medicinal Chemistry Letters*, Vol. 4, No. 9, pp. 1161-1166, 1994,

25 incorporated herein by reference, discloses exemplary  
 pipecolic acid derivatives represented by Formula IV  
 and Table II.

## FORMULA IV

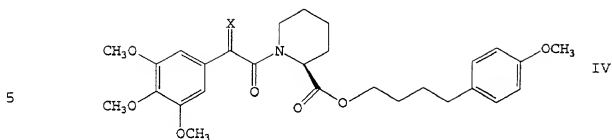


TABLE II

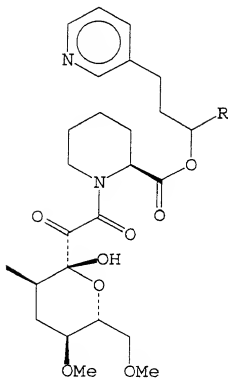
10

Compound	Structure
6	X = H, H
7	X = CH <sub>2</sub>
8	X = H, CH <sub>3</sub>
15	X = O

COMPOUND 10

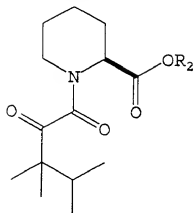
20 Birkenshaw et al., *Bioorganic & Medicinal Chemistry Letters*, Vol. 4, No. 21, pp. 2501-2506, 1994, incorporated herein by reference, discloses an exemplary pipecolic acid derivative represented by Formula V.

## FORMULA V

COMPOUNDS 11-21

Holt et al., *J. Am. Chem. Soc.*, Vol. 115, pp. 9925-9938, 1993, incorporated herein by reference, discloses exemplary pipecolic acid derivatives represented by Formula VI and Tables III and IV.

## FORMULA VI



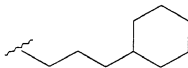
VI

## TABLE III

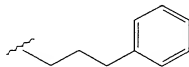
Compound

R<sub>2</sub>

11



12



13

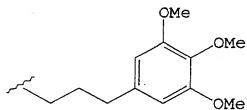


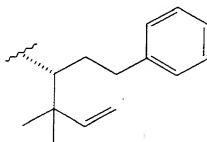
TABLE III (continued)

Compound

R<sub>2</sub>

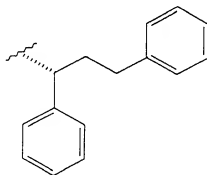
5

14



10

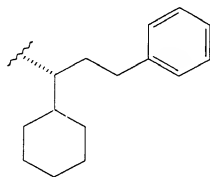
15



15

20

16'



25

TABLE III (continued)

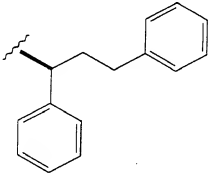
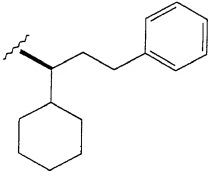
Compound	$R_2$
5	17
10	
15	18
20	

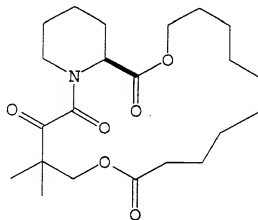
TABLE IV

Compound

Structure

5

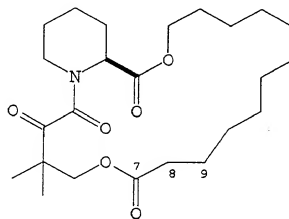
19



10

15

20



20

TABLE IV (continued)

Compound	Structure
21	

COMPOUNDS 22-30

Caffery et al., *Bioorganic & Medicinal Chemistry Letters*, Vol. 4, No. 21, pp. 2507-2510, 1994, incorporated herein by reference, discloses exemplary pipecolic acid derivatives represented by Formulas VII-IX and Tables V-VII.



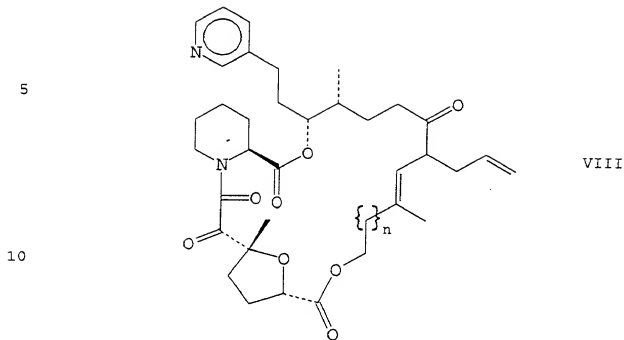
## 5



20

Compound	Structure
22	$Y = 1$
23	$Y = 2$
24	$Y = 3$

## FORMULA VIII



15

TABLE VI

Compound	Structure
25	$n = 1$
20 26	$n = 2$
27	$n = 3$

## FORMULA IX

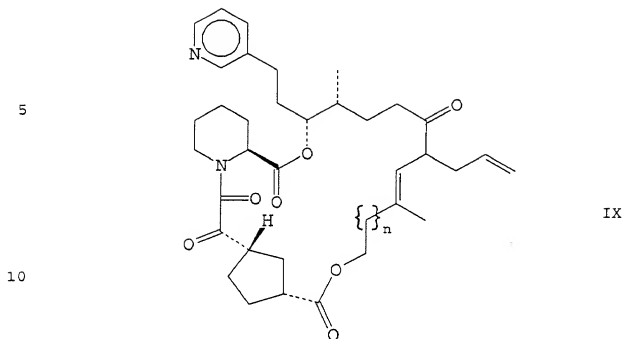


TABLE VII

15

Compound	Structure
28	$n = 1$
29	$n = 2$
20 30	$n = 3$

COMPOUND 31

Teague et al., *Bioorganic & Medicinal Chemistry*  
 25 *Letters*, Vol. 3, No. 10, pp. 1947-1950, 1993,  
 incorporated herein by reference, discloses an  
 exemplary pipecolic acid derivative represented by  
 Formula X.

5  
10  
15



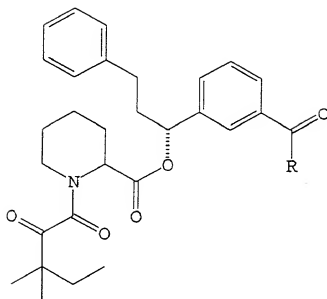
## 20

Yamashita et al., *Bioorganic & Medicinal Chemistry Letters*, Vol. 4., No. 2, pp. 325-328, 1994, incorporated herein by reference, discloses exemplary pipercolic acid derivatives represented by Formula XI and Table VIII.

## FORMULA XI

5

10



XI

TABLE VIII

15

Compound

Structure

32

R = phenyl

20

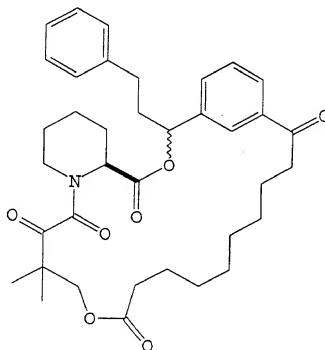
33

R = N(allyl)<sub>2</sub>

TABLE VIII (continued)

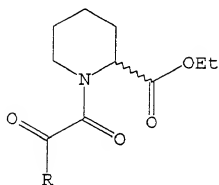
Compound	Structure
----------	-----------

34

COMPOUND 35-55

Holt et al., *Bioorganic & Medicinal Chemistry Letters*, Vol. 4, No. 2, pp. 315-320, 1994, incorporated herein by reference, discloses exemplary pipecolic acid derivatives represented by Formula XII and Tables IX-XI.

## FORMULA XII



XII

TABLE IX

Compound	Structure
35	R =
36	R =
37	R =

TABLE IX (continued)


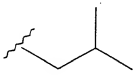

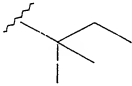
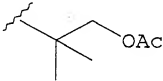
Compound	Structure
5	
38	R = 
10	
39	R = 
15	
40	R = 
20	
41	R = 
25	
42	R = 



TABLE IX (continued)

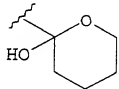
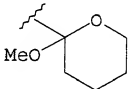
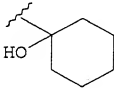
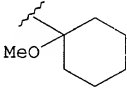
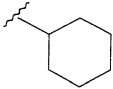
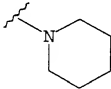
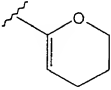
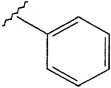
	Compound	Structure
5	43	R = 
10	44	R = 
15	45	R = 
20	46	R = 
25	47	R = 

TABLE IX (continued)

	Compound	Structure
5	48	R = 
10	49	R = 
15	50	R = 

20

TABLE X

Compound	Structure
5	
51	<chem>CC(=O)C(C)C(=O)N1CCCCC1C(=O)OCC</chem>
10	
52	<chem>CC(C)(O)C(=O)N1CCCCC1C(=O)OCCOC(=O)C(C)C(=O)OCCc2ccccc2</chem>
15	
53	<chem>CC(C)(O)C(=O)N1CCCCC1C(=O)OCCOC(=O)C(C)C(=O)OCCc2cc(OC)c(OC)c(OC)c2</chem>
25	

TABLE XI

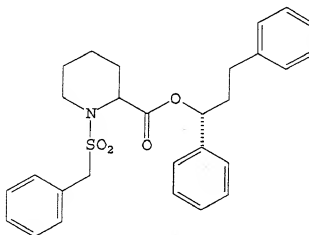
Compound

Structure

5

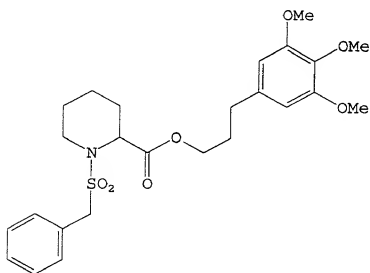
54

10



15

55



20

COMPOUNDS 56-68

25

Holt et al., *Bioorganic & Medicinal Chemistry Letters*, Vol. 3, No. 10, pp. 1977-1980, 1993, incorporated herein by reference, discloses exemplary piperidic acid derivatives represented by Formulas XIII and XIV and Tables XII-XIV.

## FORMULA XIII

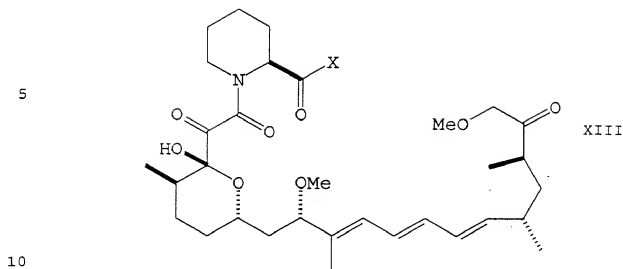


TABLE XII

15	Compound	Structure
	56	X = OH
	57	X = OMe
	58	X = Oi Pr
	59	X = OBn
20	60	X = OCH MePh
	61	X = OCH <sub>2</sub> CHCHPh
	62	X = OCH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> (3, 4-OMe <sub>2</sub> ) Ph
	63	X = NHBn
	64	X = NHCH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> Ph

25

## FORMULA XIV

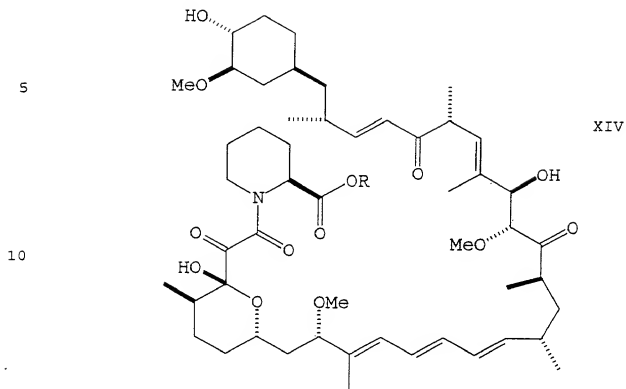


TABLE XIII

Compound	Structure
65	R = Me
66	R = Bn

TABLE XIV

Compound

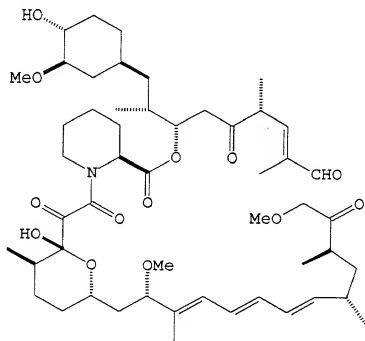
Structure

5

67

10

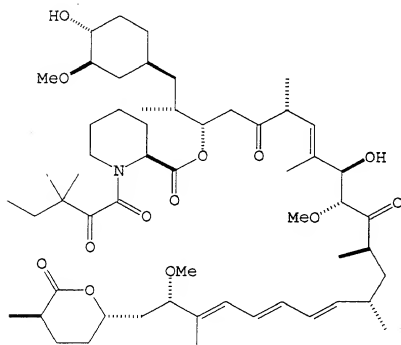
15



68

20

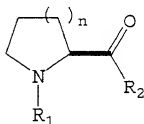
25



COMPOUNDS 69-83

Hauske et al., *J. Med. Chem.*, Vol. 35, pp. 4284-4296, 1992, incorporated herein by reference, discloses exemplary pipecolic acid derivatives represented by Formulas XV-XVIII and Tables XV-XVIII.

FORMULA XV



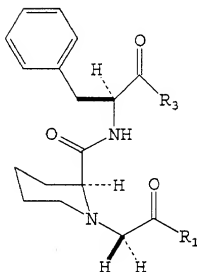
XV

TABLE XV

Compound	Structure
69	$n = 2$  $R_2 = \text{Phe-o-tert-butyl}$
70	$n = 2$  $R_2 = \text{Phe-o-tert-butyl}$



## FORMULA XVI



XVI

TABLE XVI

Compound	Structure
71	$R_1 = m\text{-OCH}_3\text{Ph}$ $R_3 = \text{Val-O-tert-butyl}$
72	$R_1 = m\text{-OCH}_3\text{Ph}$ $R_3 = \text{Leu-O-tert-butyl}$
73	$R_1 = m\text{-OCH}_3\text{Ph}$ $R_3 = \text{Ileu-O-tert-butyl}$
74	$R_1 = m\text{-OCH}_3\text{Ph}$ $R_3 = \text{hexahydro-Phe-O-tert-butyl}$
75	$R_1 = m\text{-OCH}_3\text{Ph}$ $R_3 = \text{allylalanine-O-tert-butyl}$
76	$R_1 = \text{B-naphthyl}$ $R_3 = \text{Val-O-tert-butyl}$

## FORMULA XVII

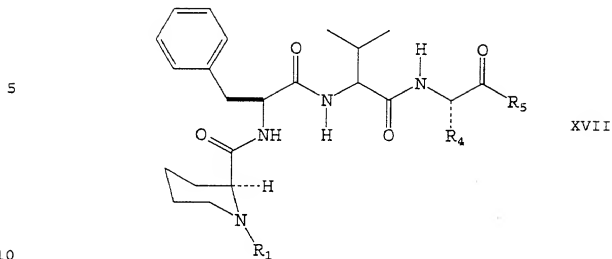
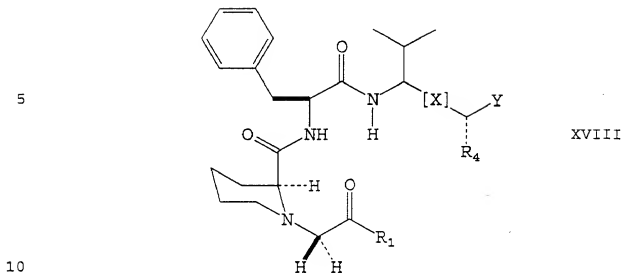


TABLE XVII

Compound	Structure
77	$R_1 = \text{CH}_2(\text{CO})-m\text{-OCH}_3\text{Ph}$ $R_4 = \text{CH}_2\text{Ph}$ $R_5 = \text{OCH}_3$
78	$R_1 = \text{CH}_2(\text{CO})-\beta\text{-naphthyl}$ $R_4 = \text{CH}_2\text{Ph}$ $R_5 = \text{OCH}_3$

## FORMULA XVIII



## TABLE XVIII

	Compound	Structure
15		
	79	$R_1 = m\text{-OCH}_3\text{Ph}$ $X = \text{trans-CH=CH}$ $R_4 = \text{H}$ $Y = \text{OC(O)Ph}$
20		
	80	$R_1 = m\text{-OCH}_3\text{Ph}$ $X = \text{trans-CH=CH}$ $R_4 = \text{H}$ $Y = \text{OC(O)CF}_3$
25		

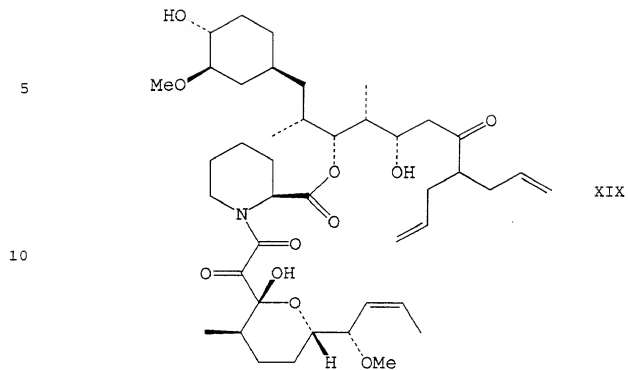
TABLE XVIII (continued)

	Compound	Structure
5	81	$R_1 = m\text{-OCH}_3\text{Ph}$ $X = \text{trans-CH=CHI}$ $R_4 = -$ $Y = -$
10	82	$R_1 = m\text{-OCH}_3\text{Ph}$ $X = \text{trans-CH=CH}$ $R_4 = \text{H}$ $Y = \text{OCH}_2\text{CH=CH}_2$
15	83	$R_1 = m\text{-OCH}_3\text{Ph}$ $X = \text{C=O}$ $R_4 = \text{H}$ $Y = \text{Ph}$
20		

COMPOUND 84

Teague et al., *Bioorganic & Med. Chem. Letters*,  
 Vol. 4, No. 13, pp. 1581-1584, 1994, incorporated  
 herein by reference, discloses an exemplary pipecolic  
 acid derivative represented by Formula XIX.

## FORMULA XIX



SLB506

COMPOUNDS 85-88

Stocks et al., *Bioorganic & Med. Chem. Letters*,  
Vol. 4, No. 12, pp. 1457-1460, 1994, incorporated  
herein by reference, discloses exemplary pipecolic  
acid derivatives represented by Formula XX and Tables  
XIX and XX.

TABLE XIX

10

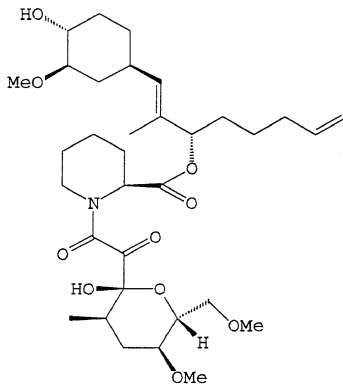
Compound

Structure

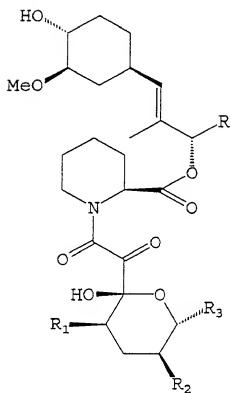
15

20

85



## FORMULA XX



XX

TABLE XX

Compound

Structure

20

86 .

 $R_1 = H$  $R_2 = OMe$  $R_3 = CH_2OMe$ 

25

87

 $R_1 = H$  $R_2 = H$  $R_3 = H$

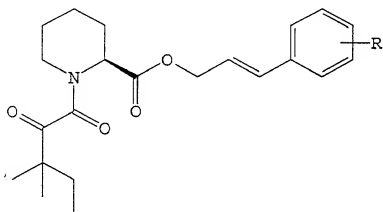
TABLE XX (continued)

Compound	Structure
88	$R_1 = \text{Me}$ $R_2 = \text{H}$ $R_3 = \text{H}$

COMPOUNDS 89-110

Additional exemplary pipecolic acid derivatives are represented by Formulas XXI-XXV and Tables XXI-XXV.

FORMULA XXI



XXI

TABLE XXI

Compound	Structure
89	$R = 3,4\text{-dichloro}$
90	$R = 3,4,5\text{-trimethoxy}$



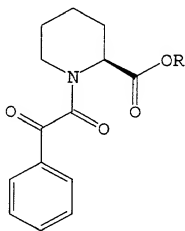
TABLE XXI (continued)

Compound	Structure
5	91 R = H
	92 R = 3-(2,5-Dimethoxy)phenylpropyl
	93 R = 3-(3,4-Methylenedioxy)phenylpropyl

10

FORMULA XXII

15



XXII

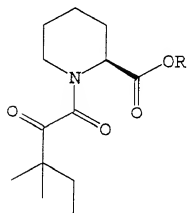
20

TABLE XXII

Compound	Structure
94	R = 4-( <i>p</i> -Methoxy)butyl
25	95 R = 3-Phenylpropyl
	96 R = 3-(3-Pyridyl)propyl

## FORMULA XXIII

5



XXIII

10

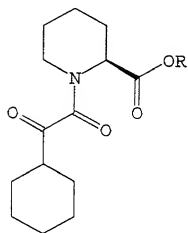
## TABLE XXIII

Compound	Structure
97	R = 3-(3-Pyridyl)propyl
98	R = 1,7-Diphenyl-4-heptyl
99	R = 4-(4-Methoxy)butyl
100	R = 1-Phenyl-6-(4-methoxyphenyl)-4-hexyl
101	R = 3-(2,5-Dimethoxy)phenylpropyl
102	R = 3-(3,4-Methylenedioxy)phenylpropyl
103	R = 1,5-Diphenylpentyl

30

## FORMULA XXIV

5



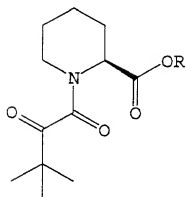
XXIV

10

TABLE XXIV

Compound	Structure
104	R = 4-(4-Methoxy)butyl
105	R = 3-Cyclohexylpropyl
20	106
	R = 3-Phenylpropyl

## FORMULA XXV



XXV

TABLE XXV

Compound	Structure
107	R = 3-Cyclohexylpropyl
108	R = 3-Phenylpropyl
109	R = 4-(4-Methoxy)butyl
110	R = 1,7-Diphenyl-4-heptyl

The names of some of the compounds identified above are provided below in Table XXVI.

TABLE XXVI

Compound		Name of Species
5	6	4-(4-methoxyphenyl)butyl (2 <i>S</i> )-1-[2-(3,4,5-trimethoxyphenyl)acetyl]hexahydro-2-pyridinecarboxylate
10	7	4-(4-methoxyphenyl)butyl (2 <i>S</i> )-1-[2-(3,4,5-trimethoxyphenyl)acryloyl]hexahydro-2-pyridinecarboxylate
15	8	4-(4-methoxyphenyl)butyl (2 <i>S</i> )-1-[2-(3,4,5-trimethoxyphenyl)propanoyl]hexahydro-2-pyridinecarboxylate
20	9	4-(4-methoxyphenyl)butyl (2 <i>S</i> )-1-[2-oxo-2-(3,4,5-trimethoxyphenyl)acetyl]hexahydro-2-pyridinecarboxylate
25	11	3-cyclohexylpropyl (2 <i>S</i> )-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate
	12	3-phenylpropyl (2 <i>S</i> )-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate
	13	3-(3,4,5-trimethoxyphenyl)propyl (2 <i>S</i> )-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridine-carboxylate

TABLE XXVI (continued)

Compound	Name of Species	
5	(1R)-2,2-dimethyl-1-phenethyl-3-butenyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate	14
10	(1R)-1,3-diphenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate	15
15	(1R)-1-cyclohexyl-3-phenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridine-carboxylate	16
20	(1S)-1,3-diphenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate	17
	(1S)-1-cyclohexyl-3-phenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridine-carboxylate	18
25	(22aS)-15,15-dimethylperhydropyrido[2,1-c][1,9,4]dioxazacyclononadecine-1,12,16,17-tetraone	19

TABLE XXVI (continued)

Compound	Name of Species
5	20 (24aS)-17,17-dimethylperhydropyrido[2,1-c][1,9,4]dioxazacyclohenicosine-1,14,18,19-tetraone
10	35 ethyl 1-(2-oxo-3-phenylpropanoyl)-2-piperidinecarboxylate
15	36 ethyl 1-pyruvoyl-2-piperidinecarboxylate
15	37 ethyl 1-(2-oxobutanoyl)-2-piperidinecarboxylate
20	38 ethyl 1-(3-methyl-2-oxobutanoyl)-2-piperidinecarboxylate
20	39 ethyl 1-(4-methyl-2-oxopentanoyl)-2-piperidinecarboxylate
25	40 ethyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate
25	41 ethyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate

TABLE XXVI (continued)

Compound Name of Species

5	42	4-[2-(ethyloxycarbonyl)piperidino]-2,2-dimethyl-3,4-dioxobutyl acetate
10	43	ethyl 1-[2-(2-hydroxytetrahydro-2H-2-pyran-2-yl)-2-oxoacetyl]-2-piperidinecarboxylate
15	44	ethyl 1-[2-(2-methoxytetrahydro-2H-2-pyran-2-yl)-2-oxoacetyl]-2-piperidinecarboxylate
20	45	ethyl 1-[2-(1-hydroxycyclohexyl)-2-oxoacetyl]-2-piperidinecarboxylate
	46	ethyl 1-[2-(1-methoxycyclohexyl)-2-oxoacetyl]-2-piperidinecarboxylate
	47	ethyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate
25	48	ethyl 1-(2-oxo-2-piperidinoacetyl)-2-piperidinecarboxylate
	49	ethyl 1-[2-(3,4-dihydro-2H-6-pyran-2-yl)-2-oxoacetyl]-2-piperidinecarboxylate



TABLE XXVI (continued)

Compound Name of Species

5	50	ethyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate
	51	ethyl 1-(4-methyl-2-oxo-1-thioxopentyl)-2-piperidinecarboxylate
10	52	3-phenylpropyl 1-(2-hydroxy-3,3-dimethylpentanoyl)-2-piperidinecarboxylate
	53	(1R)-1-phenyl-3-(3,4,5-trimethoxyphenyl)propyl 1-(3,3-dimethylbutanoyl)-2-piperidine-carboxylate
15	54	(1R)-1,3-diphenylpropyl 1-(benzylsulfonyl)-2-piperidinecarboxylate
20	55	3-(3,4,5-trimethoxyphenyl)propyl 1-(benzylsulfonyl)-2-piperidinecarboxylate
25	56	1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidine-carboxylic acid

TABLE XXVI (continued)

Compound	Name of Species
5	57 methyl 1-(2-[(2 <i>R</i> ,3 <i>R</i> ,6 <i>S</i> )-6-[(2 <i>S</i> ,3 <i>E</i> ,5 <i>E</i> ,7 <i>E</i> ,9 <i>S</i> ,11 <i>R</i> )-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyl-tetrahydro-2 <i>H</i> -2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate
10	58 isopropyl 1-(2-[(2 <i>R</i> ,3 <i>R</i> ,6 <i>S</i> )-6-[(2 <i>S</i> ,3 <i>E</i> ,5 <i>E</i> ,7 <i>E</i> ,9 <i>S</i> ,11 <i>R</i> )-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyl-tetrahydro-2 <i>H</i> -2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate
15	59 benzyl 1-(2-[(2 <i>R</i> ,3 <i>R</i> ,6 <i>S</i> )-6-[(2 <i>S</i> ,3 <i>E</i> ,5 <i>E</i> ,7 <i>E</i> ,9 <i>S</i> ,11 <i>R</i> )-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyl-tetrahydro-2 <i>H</i> -2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate
20	60 1-phenylethyl 1-(2-[(2 <i>R</i> ,3 <i>R</i> ,6 <i>S</i> )-6-[(2 <i>S</i> ,3 <i>E</i> ,5 <i>E</i> ,7 <i>E</i> ,9 <i>S</i> ,11 <i>R</i> )-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyl-tetrahydro-2 <i>H</i> -2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate
25	

TABLE XXVI (continued)

Compound Name of Species

5	61	(Z)-3-phenyl-2-propenyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate
10	62	3-(3,4-dimethoxyphenyl)propyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidine-carboxylate
15	63	N2-benzyl-1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate
20	64	N2-(3-phenylpropyl)-1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate.

TABLE XXVI (continued)

Compound Name of Species

5	89	(E)-3-(3,4-dichlorophenyl)-2-propenyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidine-carboxylate
	90	(E)-3-(3,4,5-trimethoxyphenyl)-2-propenyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidine-carboxylate
10		
	91	(E)-3-phenyl-2-propenyl 1-(3,3-dimethyl-2-oxo-pentanoyl)-2-piperidinecarboxylate
15		
	92	(E)-3-((3-(2,5-dimethoxy)-phenylpropyl)-phenyl)-2-propenyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate
20	93	(E)-3-(1,3-benzodioxol-5-yl)-2-propenyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidine-carboxylate
	94	4-(4-methoxyphenyl)butyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate
25		
	95	3-phenylpropyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate

TABLE XXVI (continued)

Compound	Name of Species
5	96 3-(3-pyridyl)propyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate
97	3-(3-pyridyl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate
10	98 4-phenyl-1-(3-phenylpropyl)butyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate
15	99 4-(4-methoxyphenyl)butyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate
100	1-(4-methoxyphenethyl)-4-phenylbutyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate
20	
101	3-(2,5-dimethoxyphenyl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate
25	102 3-(1,3-benzodioxol-5-yl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate

TABLE XXVI (continued)

Compound    Name of Species

5	103	1-phenethyl-3-phenylpropyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate
	104	4-(4-methoxyphenyl)butyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate
10	105	3-cyclohexylpropyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate
	106	3-phenylpropyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate
15	107	3-cyclohexylpropyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate
20	108	3-phenylpropyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate
	109	4-(4-methoxyphenyl)butyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate
25	110	4-phenyl-1-(3-phenylpropyl)butyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate

All the compounds of Formulas I-XXV possess asymmetric centers and thus can be produced as mixtures of stereoisomers or as individual R- and S-stereoisomers. The individual stereoisomers may be obtained by using an optically active starting material, by resolving a racemic or non-racemic mixture of an intermediate at some appropriate stage of the synthesis, or by resolving the compounds of Formulas I-XXV. It is understood that the compounds of Formulas I-XXV encompass individual stereoisomers as well as mixtures (racemic and non-racemic) of stereoisomers. Preferably, S-stereoisomers are used in the pharmaceutical compositions and methods of the present invention.

#### Affinity for FKBP12

The compounds used in the inventive methods and pharmaceutical compositions have an affinity for the FK506 binding protein, particularly FKBP12. The inhibition of the prolyl peptidyl *cis-trans* isomerase activity of FKBP may be measured as an indicator of this affinity.

#### K<sub>i</sub> Test Procedure

Inhibition of the peptidyl-prolyl isomerase (rotamase) activity of the compounds used in the inventive methods and pharmaceutical compositions can be evaluated by known methods described in the

literature (Harding et al., *Nature*, 1989, 341:758-760; Holt et al. *J. Am. Chem. Soc.*, 115:9923-9938). These values are obtained as apparent  $K_i$ 's and are presented for representative compounds in TABLE XXVII.

5        The *cis-trans* isomerization of an alanine-proline bond in a model substrate, N-succinyl-Ala-Ala-Pro-Phe-*p*-nitroanilide, is monitored spectrophotometrically in a chymotrypsin-coupled assay, which releases para-nitroanilide from the *trans* form of the substrate.

10      The inhibition of this reaction caused by the addition of different concentrations of inhibitor is determined, and the data is analyzed as a change in first-order rate constant as a function of inhibitor concentration to yield the apparent  $K_i$  values.

15        In a plastic cuvette are added 950  $\mu$ L of ice cold assay buffer (25 mM HEPES, pH 7.8, 100 mM NaCl), 10  $\mu$ L of FKBP (2.5 mM in 10 mM Tris-Cl pH 7.5, 100 mM NaCl, 1 mM dithiothreitol), 25  $\mu$ L of chymotrypsin (50 mg/mL in 1 mM HCl) and 10  $\mu$ L of test compound at various

20      concentrations in dimethyl sulfoxide. The reaction is initiated by the addition of 5  $\mu$ L of substrate (succinyl-Ala-Phe-Pro-Phe-para-nitroanilide, 5 mg/mL in 2.35 mM LiCl in trifluoroethanol).

25        The absorbance at 390 nm versus time is monitored for 90 seconds using a spectrophotometer and the rate constants are determined from the absorbance versus time data files.



TABLE XXVII

*In Vitro* Test Results - Formulas I-XXV

	Compound	$K_L$ ( $\mu M$ )
5	6	140
	9	13
	11	170
	12	250
10	13	25
	15	17
	19	12
	36	>10,000
15	41	1300
	50	>10,000
	89	1800
	90	28
20	91	39
	92	75
	93	70
	94	165
25	95	740
	96	725
	97	130
	98	30
	99	60
	100	15
	101	12
	102	120

TABLE XXVII (continued)

In Vitro Test Results - Formulas I-XXV

Compound	$K_i$ ( $\mu$ M)
----------	------------------

5

103	20
-----	----

104	103
-----	-----

105	760
-----	-----

106	210
-----	-----

10

107	32
-----	----

108	2
-----	---

109	24
-----	----

110	5
-----	---

### Route of Administration

To effectively treat vision loss or promote  
45 vision regeneration, the compounds used in the  
inventive methods and pharmaceutical compositions must  
readily affect the targeted areas. For these  
purposes, the compounds are preferably administered  
[topically to the skin.]

### 10 Dosage

Dosage levels on the order of about 0.1 mg to  
about 10,000 mg of the active ingredient compound are  
useful in the treatment of the above conditions, with  
preferred levels of about 0.1 mg to about 1,000 mg.  
15 The specific dose level for any particular patient  
will vary depending upon a variety of factors,  
including the activity of the specific compound  
employed; the age, body weight, general health, sex  
and diet of the patient; the time of administration;  
20 the rate of excretion; drug combination; the severity  
of the particular disease being treated; and the form  
of administration. Typically, *in vitro* dosage-effect  
results provide useful guidance on the proper doses  
for patient administration. Studies in animal models  
25 are also helpful. The considerations for determining  
the proper dose levels are well known in the art.

The compounds can be administered with other hair  
revitalizing agents. Specific dose levels for the  
other hair revitalizing agents will depend upon the  
factors previously stated and the effectiveness of the  
drug combination.

5

EXAMPLES

The following examples are illustrative of the present invention and are not intended to be limitations thereon. Unless otherwise indicated, all percentages are based upon 100% by weight of the final composition.

-----

10

EXAMPLE 1Synthesis of 3-phenyl-1-propyl (2S)-1-(3,3-dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate (1)Methyl (2S)-1-(1,2-dioxo-2-methoxyethyl)-2-pyrrolidinecarboxylate

5 A solution of L-proline methyl ester hydrochloride (3.08 g; 18.60 mmol) in dry methylene chloride was cooled to 0°C and treated with triethylamine (3.92 g; 38.74 mmol; 2.1 eq). After stirring the formed slurry under a nitrogen atmosphere for 15 min, a solution of methyl oxalyl chloride (3.20  
 10 g; 26.12 mmol) in methylene chloride (45 ml) was added dropwise. The resulting mixture was stirred at 0°C for 1.5 hour. After filtering to remove solids, the organic phase was washed with water, dried over MgSO<sub>4</sub> and concentrated. The crude residue was purified on  
 15 a silica gel column, eluting with 50% ethyl acetate in hexane, to obtain 3.52 g (88%) of the product as a reddish oil. Mixture of cis-trans amide rotamers; data for trans rotamer given. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 1.93 (dm, 2H); 2.17 (m, 2H); 3.62 (m, 2H); 3.71 (s, 3H);  
 20 3.79, 3.84 (s, 3H total); 4.86 (dd, 1H, J = 8.4, 3.3).

Methyl (2S)-1-(1,2-dioxo-3,3-dimethylpentyl)-2-pyrrolidinecarboxylate

A solution of methyl (2S)-1-(1,2-dioxo-2-methoxyethyl)-2-pyrrolidinecarboxylate (2.35 g; 10.90  
 25 mmol) in 30 ml of tetrahydrofuran (THF) was cooled to -78°C and treated with 14.2 ml of a 1.0 M solution of 1,1-dimethylpropylmagnesium chloride in THF. After

stirring the resulting homogeneous mixture at -78°C for three hours, the mixture was poured into saturated ammonium chloride (100 ml) and extracted into ethyl acetate. The organic phase was washed with water, dried, and concentrated, and the crude material obtained upon removal of the solvent was purified on a silica gel column, eluting with 25% ethyl acetate in hexane, to obtain 2.10 g (75%) of the oxamate as a colorless oil. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 0.88 (t, 3H); 1.22, 1.26 (s, 3H each); 1.75 (dm, 2H); 1.87-2.10 (m, 3H); 2.23 (m, 1H); 3.54 (m, 2H); 3.76 (s, 3H); 4.52 (dm, 1H, J = 8.4, 3.4).

Synthesis of (2S)-1-(1,2-dioxo-3,3-dimethylpentyl)-2-pyrrolidinecarboxylic acid

A mixture of methyl (2S)-1-(1,2-dioxo-3,3-dimethylpentyl)-2-pyrrolidinecarboxylate (2.10 g; 8.23 mmol), 1 N LiOH (15 ml), and methanol (50 ml) was stirred at 0°C for 30 minutes and at room temperature overnight. The mixture was acidified to pH 1 with 1 N HCl, diluted with water, and extracted into 100 ml of methylene chloride. The organic extract was washed with brine and concentrated to deliver 1.73 g (87%) of snow-white solid which did not require further purification. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 0.87 (t, 3H); 1.22, 1.25 (s, 3H each); 1.77 (dm, 2H); 2.02 (m, 2H); 2.17 (m, 1H); 2.25 (m, 1H); 3.53 (dd, 2H, J = 10.4, 7.3); 4.55 (dd, 1H, J = 8.6, 4.1).

3-Phenyl-1-propyl (2S)-1-(3,3-dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate (1)

A mixture of (2S)-1-(1,2-dioxo-3,3-dimethylpentyl)-2-pyrrolidine-carboxylic acid (600 mg; 2.49 mmol), 3-phenyl-1-propanol (508 mg; 3.73 mmol), dicyclohexylcarbodiimide (822 mg; 3.98 mmol), camphorsulfonic acid (190 mg; 0.8 mmol) and 4-dimethylaminopyridine (100 mg; 0.8 mmol) in methylene chloride (20 ml) was stirred overnight under a nitrogen atmosphere. The reaction mixture was filtered through Celite to remove solids and concentrated in vacuo, and the crude material was purified on a flash column (25% ethyl acetate in hexane) to obtain 720 mg (80%) of Example 1 as a colorless oil. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 0.84 (t, 3H); 1.19 (s, 3H); 1.23 (s, 3H); 1.70 (dm, 2H); 1.98 (m, 5H); 2.22 (m, 1H); 2.64 (m, 2H); 3.47 (m, 2H); 4.14 (m, 2H); 4.51 (d, 1H); 7.16 (m, 3H); 7.26 (m, 2H).

**Figure 1. GPI 1046 protects retinal ganglion cells against degeneration following retinal ischemia.**

Retinal ganglion cells were retrogradely labeled in adult rats by bilateral injection of fluorogold in their lateral geniculate nuclei. Labeled ganglion cells in the normal rat retina appear as white profiles against the dark background (Figure 1A). Complete retinal ischemia was produced by infusing normal saline solution into the retinal vitreous cavity of each eye until the intraocular pressure exceeded arterial blood pressure. 28 days after the ischemic episode extensive degeneration of retinal ganglion cell was evidenced by massive reduction in the density of fluorogold labeled cells (Figure 1B). Administration of GPI 1046 (10mg/kg, s.c.) 1 hour prior to the ischemic episode and at 10mg/kg/day for the next four days produced noticeable protection of a large proportion of the vulnerable ganglion cell population (Figure 1C).

**Figure 2. GPI 1046 prevents degeneration of optic nerve axons and myelin following retinal ischemia**

Examination of the optic nerves from the same retinal ischemia cases reveals that GPI 1046 produces dramatic protection of optic nerve element from ischemic degeneration. Toluidine blue staining of epon embedded optic nerve cross sections revealed the detail of myelin sheaths (white circles) and optic nerve axons



(black centers) in the normal rat optic nerve. Optic nerves from vehicle treated cases examined 28 days after a 1 hour retinal ischemic episode are characterized by a decreased density of optic nerve axons and the appearance of numerous degenerating myelin figures (bright white filled circles). Treatment with GPI 1046 protected the majority of optic nerve axons from degeneration and also dramatically decreased the density of degenerating myelin figures.

**Figure 3. GPI 1046 provides moderate protection against retinal ganglion cell death after optic nerve transection**

Complete transection of the optic nerve 5 mm from the eyeball produces massive degeneration of retinal ganglion cells, representing loss of >87% of the normal ganglion cell population 90 days after the injury (Table A). Few spared fluorogold pre labeled ganglion cells are present in vehicle treated cases (large white figures) among a population of small microglia that digest the debris of the degenerating cells and take up the fluorogold label (Figure 3A). Treatment with GPI 1046 for 14 days resulted in a small but not significant increase in the density of retinal ganglion cells that survived 90 days after transection (Table A) but treatment with GPI 1046 for the first 28 days after transection produced moderate but significant

protection of 12.6% of the vulnerable ganglion cell population (Table A, Figure 3B).

**Figure 4. GPI 1046 treatment duration significantly affects the process of optic nerve axonal degeneration after transection.**

Examination of optic nerve axon density in the proximal stump of the optic nerve from the same cases revealed a more dramatic protection afforded by GPI 1046

treatment. 90 days after transection few ganglion cell axons remain within the optic nerve (Figure 4B), representing only 5.6% of the normal population. The loss of axons reflects both the death of retinal ganglion cells and the regression or "dying back" of the axons of ~ 70% of the small surviving ganglion cell population into the retina itself (Table A). Treatment with GPI 1046 for the first 14 days after optic nerve transection produced a small but significant 5.3% protection of optic nerve axons (Figure 4D, Table A).

but treatment with the same dose of GPI 1046 for 28 days resulted in the protection of optic nerve axons for the vast majority (81.4%) of spared retinal ganglion cells (Figure 4C, Table A).

**Figure 5. GPI 1046 treatment produces a greater effect on optic nerve axons than ganglion cell bodies**

This summary figure shows data from Figure 3 ganglion cell protection and higher power photomicrographs of

optic nerve axon protection (Figure 5A&B, upper panels). 28 day treatment with GPI 1046 produced a significant increase in the density of large, and particularly medium and small caliber optic nerve axons (Figure 5C&D, lower panels).

**Figure 6. GPI 1046 treatment for 28 days after optic nerve transection prevents myelin degeneration in the proximal stump**

Myelin basic protein immunohistochemistry labels fascicles (darker labeled 'islands') of myelinated axons in the normal optic nerve (Figure 6A, upper left). 90 days after transection extensive degeneration of myelin is evident in vehicle treated cases, characterized by the loss of fascicular organization and the appearance of numerous large dense degenerating myelin figures (Figure 6B, upper right). Treatment with GPI 1046 for the first 14 days after optic nerve transection did not alter the pattern of myelin degeneration (Figure 6C, lower left panel), and yielded an insignificant 1.6% quantitative recovery in myelin density (Table A). Extending the GPI 1046 treatment course through the first 28 days after optic nerve transection produced a dramatic preservation of the fascicular staining pattern for myelin basic protein in the proximal stump of the optic nerve and decreased the density of degenerating myelin figures

(Figure 6D, lower right panel), representing a '70% recovery of myelin density (Table A).

**Figure 7. FKBP-12 immunohistochemistry labels**

5 oligodendroglia (large dark cells with fibrous processes), the cells which produce myelin, located between the fascicles of optic nerve fibers, and also some optic nerve axons.

10 **Figure 8. GPI 1046 treatment for 28 days after optic nerve transection prevents myelin degeneration in the distal stump.**

Complete transection of the optic nerve leads to degeneration of the distal segments (axon fragments disconnected from the ganglion cell bodies), and the degeneration of their myelin sheaths. 90 days after transection (Figure 8B) myelin basic protein immunohistochemistry reveals the near total loss of fascicular organization (present in the normal optic nerve, Figure 8A) and the presence of numerous dense degenerating myelin figures. Quantitation reveals that the cross sectional area of the transected distal stump shrinks by 31% and loses approximately 1/2 of its myelin (Table A). Treatment with GPI 1046 for the first 14 days after transection did not protect against shrinkage of the distal stump but did slightly increase the density of myelin, though the density of

degenerating myelin figures remained high (Figure 8C, Table A). GPI 1046 treatment through the first 28 days produced dramatic protection of the fascicular pattern of myelin labeling, decreased the density of  
 5   degenerating myelin figures, prevented cross sectional shrinkage of the distal stump of the transected nerve and maintained the myelin levels at ~99% of normal levels ( Figure 8D, Table A).

10   **Figure 9. 28 day treatment with GPI 1046 treatment beginning 8 weeks after onset of streptozotocin induced diabetes decreases the extent of neovascularization in the inner and outer retina and protects neurons in the inner nuclear layer (INL) and ganglion cell layer (GCL) from degeneration.**

15   Negative images of cresyl violet stained tangential retinal sections reveals perikarya in the three cellular layers (Figure 9A). The retinæ of streptozotocin treated animals administered only  
 20   vehicle (Figure 9B) exhibited loss of cells from the ONL and INL, decreased thickness of the Outer plexiform layer (the dark area between ONL and INL) and a dramatic increase in the size and density of retinal blood vessels (large black circular outlines) in the  
 25   INL, OPL, ONL and the photoreceptor layer (PR, the gray fuzzy area above the ONL). GPI 1046 treatment reduced neovascularization (i.e. prevented the proliferation of

blood vessels) in the PR, ONL, OPL and INL. Although GPI 1046 did not appear to protect against neuronal loss in the ONL, it appeared to decrease the loss of neurons in both the INL and GCL compared to streptozotocin/vehicle treated controls.

5

Example 2In Vivo Retinal Ganglion Cell  
and Optic Nerve Axon Tests

The extent of degeneration reduction or prevention in retinal ganglion cells and optic nerve axons was determined in a vision loss model utilizing surgical optic nerve transection to simulate mechanical damage to the optic nerve. The effects of several neuroimmunophilin FKBP ligands on retinal ganglion cells neuroprotection and optic nerve axon density was determined experimentally, comparing 14 day and 28 day neuroimmunophilin FKBP ligand treatments. The effects of treatment with neuroimmunophilin FKBP ligands on retinal ganglion cells and optic nerve axons was correlated.

Surgical Procedures

Adult male Sprague Dawley rats (3 months old, 225-250 grams) were anesthetized with a ketamine

(87mg/kg) and xylazine (13mg/kg) mixture. Retinal ganglion cells were pre-labeled by bilateral stereotaxic injection of the fluorescent retrogradely transported marker fluoro-gold (FG, 0.5 microliters of 2.5% solution in saline) at the coordinates of the LGNd (4.5 millimeters post  $\beta$ , 3.5 millimeters lateral, 4.6 millimeters below dura). Four days later, FG labeled rats underwent a second surgery for microsurgical bilateral intraorbital optic nerve transection 4-5 millimeters behind the orbit.

Experimental animals were divided into six experimental groups of six rats (12 eyes) per group. One group received a neuroimmunophilin FKBP ligand (10 milligrams per kg per day sc in PEG vehicle (20 percent propylene glycol, 20 percent ethanol, and 60 percent saline)) for 14 days. A second group received the same neuroimmunophilin FKBP ligand dose for 28 days. Each treated group had a corresponding sham/surgery and transection control group which received corresponding 14 or 28 day dosing with the vehicle only.

All animals were sacrificed 90 days after optic nerve transection and perfused pericardially with formalin. All eyes and optic nerves stumps were removed. Cases were excluded from the study if the optic nerve vasculature was damaged or if FG labeling



was absent in the retina.

#### Retinal Ganglion Cell Counts

Retinas were removed from eyes and prepared for wholemount analysis. For each group, five eyes with dense and intense FG labeling were selected for quantitative analysis using a 20 power objective. Digital images were obtained from five fields in the central retina (3-4 millimeters radial to optic nerve head). FG labeled Large ( $>18\text{ }\mu\text{m}$ ), medium ( $12\text{--}16\text{ }\mu\text{m}$ ), and small ( $<10\text{ }\mu\text{m}$ ) ganglion cells and microglia were counted in five  $400\text{ }\mu\text{m}$  by  $400\text{ }\mu\text{m}$  fields per case, 5 cases per group.

#### Examination of Optic Nerves

Proximal and distal optic nerve stumps were identified, measured, and transferred to 30% sucrose saline. The proximal stumps of five nerves were blocked and affixed to a chuck, and 10 micron cross sections were cut on a cryostat; one in ten sections were saved per set. Sections including the region 1-2 mm behind the orbit were reacted for RT97 neurofilament immunohistochemistry. Analysis of optic nerve axon density was performed using a 63 power oil immersion lens, a Dage 81 camera, and the Simple Image Analysis program. RT97 positive optic nerve axons were counted in three  $200\text{ }\mu\text{m}$  by  $200\text{ }\mu\text{m}$  fields per nerve. The area of the nerve was also determined for

each case at 10 power.

As depicted graphically in Tables A&B, the 14 day course of treatment with a neuroimmunophilin FKBP ligand provided moderate neuroprotection of retinal ganglion cells observed 28 days after optic nerve transection. However, by 90 days after transection, only 5% of the ganglion cell population remained viable.

90 days after optic nerve transection the number of axons persisting in the proximal stump of the optic nerve represented approximately one half of the number of surviving ganglion cells in groups of animals that received vehicle alone or the 14 day course of treatment with a neuroimmunophilin FKBP ligand. These results indicate that over half of the transected ganglion cell axons retract beyond the optic nerve head, and that treatment with a neuroimmunophilin FKBP ligand during the first 14 days after optic nerve transection is not sufficient to arrest this retraction.

As depicted graphically in Tables A&B, more prolonged treatment with a neuroimmunophilin FKBP ligand during the 28 day course of treatment produced a moderate increase in retinal ganglion cell neuroprotection. Approximately 12% of the vulnerable retinal ganglion cell population was protected. A

**Table A**  
Effect of prolonged GPI 1046 treatment on retinal ganglion cell survival,  
optic nerve axon preservation, and myelination 90 days after optic nerve transection

GROUP	RGC Counts <sup>1</sup>	ON Axon area density <sup>2</sup>	ON Inad area (%-sham)	% RGCs Rescued	Increased ON axon density <sup>3</sup>	Sham RGC population	ON axon Count <sup>4</sup>	% surviving RGCs with ON axons	Pruned optic nerve myelin basic protein density <sup>5</sup>	Dial optic nerve myelin basic protein density <sup>5</sup>
Sham	200 ± 14.8	7600*	100%			120,000*	120,000	100%	normal	
ONT/Vehicle	35.9 ± 2.8	428 ± 34	68%	(87% loss)		14,855	4593	30.9%	52 ± 5.2 SEM % loss	1% shrinkage
ONT/14 days GPI 1046	49 ± 5.3	569 ± 23	76%	5.3%	1.5X	20,275	6820	33.6%	1.6 ± 3.0 SEM % shrinkage	33% shrinkage
ONT/28 days GPI 1046	67.9 ± 5.8*	1526 ± 120*	95%*	12.6%*	5.0X	28,096*	22,861*	81.3%	70 ± 6.3 SEM % EXHAUSTION*	35% less shrinkage* 99% myelin preservation*

\*Significance p < 0.01

<sup>1</sup> Mean density ± SEM of Fluoro-gold labeled retinal ganglion cells (RGC) in 400 µm x 400 µm sample gridfields.

<sup>2</sup> mean density ± SEM of RT97 neurofilament antibody labeled optic nerve (ON) axons in 200 µm x 200µm region of interest

<sup>3</sup> estimate for 200 µm x 200µm region in normal optic nerve assuming 120,000 RGC axons in normal rat optic nerve, measured to be 0.630 mm<sup>2</sup> axon cross sectional area

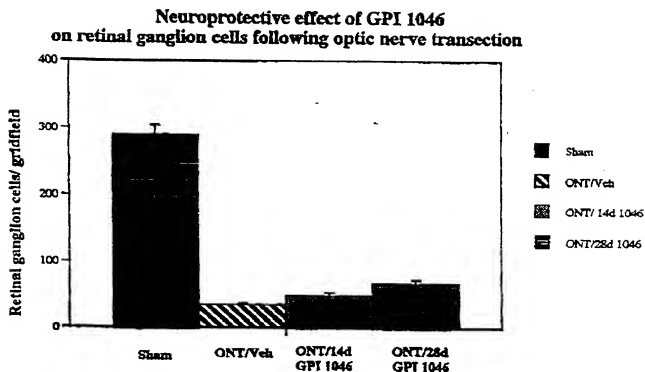
<sup>4</sup> adjusted for optic nerve diameter

<sup>5</sup> calculated by multiplying axonal density by ON area

<sup>6</sup> determined from 20X analysis of % axonal coverage of optic nerve cross section

<sup>7</sup> Shrinkage determined by comparing cross sectional area in sham control, myelin loss determined by multiplying cross sectional area by myelin density

TABLE B



similar proportion (~50%) of optic nerve axon density sparing was also observed. These results demonstrate the startling result that extending the duration of treatment with a neuroimmunophilin FKBP ligands to 28  
5 days after transection completely arrests the regression of damaged axons for essentially the entire surviving population of retinal ganglion cells.

Additional results are set forth in Tables ^

C and D

TABLE C

Correlation between Retinal Ganglion Cell and Optic Nerve Axon Sparing at 90 days following optic nerve transection and 14 or 28 day GPI 1046 treatment

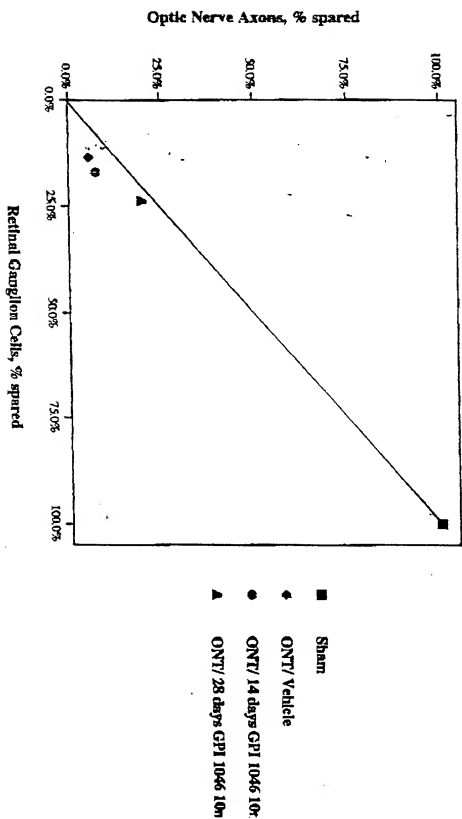
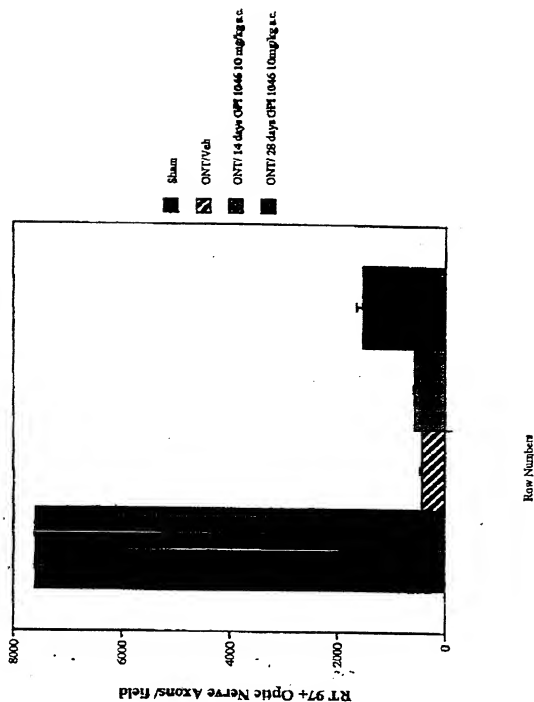


TABLE D

GPI 1046 preserves optic nerve axons  
in the proximal stump following transection



Example 3

A patient is suffering from macular degeneration.

A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 4

A patient is suffering from glaucoma, resulting in cupping of the optic nerve disc and damage to nerve fibers. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 5

A patient is suffering from cataracts requiring surgery. Following surgery, a derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical



composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

#### Example 6

A patient is suffering from an impairment or blockage of retinal blood supply relating to diabetic retinopathy, ischemic optic neuropathy, or retinal artery or vein blockage. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

#### Example 7

A patient is suffering from a detached retina. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or

promotion of vision regeneration are/is expected to occur following treatment.

Example 8

5           A patient is suffering from tissue damage caused  
by inflammation associated with uveitis or  
conjunctivitis. A           derivative as  
identified above, alone or in combination with one or  
more other neoplastic factors, or a pharmaceutical  
10       composition comprising the same, may be administered  
to the patient. A reduction in vision loss,  
prevention of vision degeneration, and/or promotion of  
vision regeneration are/is expected to occur following  
treatment.

15

Example 9

          A patient is suffering from photoreceptor damage  
caused by chronic or acute exposure to ultraviolet  
light. A           derivative as identified above,  
20       alone or in combination with one or more other neoplastic  
factors, or a pharmaceutical composition comprising  
the same, may be administered to the patient. A  
reduction in vision loss, prevention of vision  
degeneration, and/or promotion of vision regeneration  
25       are/is expected to occur following treatment.

Example 10

A patient is suffering from optic neuritis. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 11

A patient is suffering from tissue damage associated with a "dry eye" disorder. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 12

**Efficacy of representative compounds from  
different immunophilin ligand series  
in protecting retinal ganglion cell axons from  
degeneration following optic nerve transection**

is set forth in Table E.

**Efficacy of representative compounds from  
different immunophilin ligand series  
in protecting retinal ganglion cell axons from  
degeneration following optic nerve transection**

Compound	Structure	Comments	RT 274-RGC axon density (4 days post-ON transection) (vs. ON transection)
B		Adamantyl Thioester of urea Ki rotomase = 149 nM Clearance = ? $\mu\text{L}/\text{min}$	100.0% $\pm 5.2\%$ SEM
A GPI 1046		Ester Ki rotomase = 7.5 nM Clearance = 63.8 $\mu\text{L}/\text{min}$	60.5% $\pm 3.9\%$ SEM
C		Sulfonamide Ki rotomase = 107 nM Clearance = 31.1 $\mu\text{L}/\text{min}$	60.4% $\pm 3.1\%$ SEM
D		Pipecolic sulfonamide Ki rotomase = nM Clearance = ? $\mu\text{L}/\text{min}$	58.4% $\pm 6.4\%$ SEM
E		Ester of pipecolic acid Ki rotomase = 20 nM Clearance = 41.8 $\mu\text{L}/\text{min}$	56.6% $\pm 9.4\%$ SEM
F		Proline heterocycle Analog of GPI 1046 Ki rotomase = 272 nM Clearance = ? $\mu\text{L}/\text{min}$	55.1% $\pm 5.9\%$ SEM

TABLE E



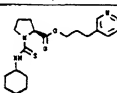
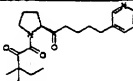
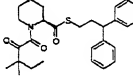

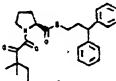
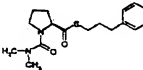
G		Pipecolic acid dimethyl ketone Ki rotomase >10,000 nM Clearance=7 µl/min	34.0% ±4.8% SEM
H		Ki rotomase = nM Clearance=7 µl/min	30.3% ±8.0% SEM
I		Ester of Thiourea Ki rotomase= 131 nM Clearance=8.0 µl/min	23.8% ±5.3% SEM
J		Ketone - analog of GPI 1046 Ki rotomase= 210nM Clearance=1.5 µl/min	15.8% ±4.8% SEM
K		Pipecolic acid Thioester Ki rotomase= 86nM Clearance= 4.5 µl/min	13.0% ±4.2% SEM
L		Prolyl acid Ki rotomase= >7743nM Clearance=5.2 µl/min	7.8% ±3.0% SEM
M		Thioester Ki rotomase =7nM Clearance=12.5µl/min	-6.3% +3.9% SEM
N		Ki rotomase = 722 nM Clearance= 21.9 µl/ml	

TABLE E (continued)

Example 13

5 THE FKBP NEUROIMMUNOPHILIN LIGAND GPI-1046  
ENHANCES RETINAL GANGLION CELL SURVIVAL  
AND ARRESTS AXONAL DYING BACK  
FOLLOWING OPTIC NERVE TRANSECTION

10

Transection of the mammalian optic nerve results in a brief period of abortive regeneration, but the majority of axotomized neurons die and the axons from many persisting ganglion cells die back beyond the optic nerve head. The present Example was designed to examine the neuroprotective effects of GPI-1046 following optic nerve transection.

Retinal ganglion cells in adult male Sprague  
20 Dawley rats were retrogradely labeled by fluorogold injection in the LGNd and four days later the optic nerves were transected 5 mm behind the globe. Groups of animals received either GPI-1046 10mg/kg/day s.c. or vehicle for 28 days. All experimental animals and  
25 controls were sacrificed 90 days after transection.

By 90 days only - 10% of the FG labeled ganglion cell population survived but less than half of these neurons maintained axons that extended past the optic  
30 nerve head, as detected with RT97 neurofilament immunohistochemistry. GPI-1046 treatment produced a moderate degree of perikaryal neuroprotection, sparing

25% of the ganglion cell population, and preserved the axons of virtually all protected neurons in the proximal stump of the transected nerve. These results indicate that treatment with the FKBP neuroimmunophilin ligand GPI-1046 produces a fundamental alteration in the pathological process following injury to CNS tracts.

These results also demonstrate that the small molecule FKBP neuroimmunophilin ligand GPI 1046 enhances neurite outgrowth in culture, enhance peripheral nerve regeneration, and stimulate sprouting within the CNS following partial deafferentation.



Example 14

5           NEUROIMMUNOPHILIN LIGANDS PROMOTE RECOVERY  
FROM THE PERIPHERAL SENSORY NEUROPATHY ASSOCIATED  
WITH STREPTOZOTOCIN-INDUCED DIABETES

10           Peripheral neuropathy is a common debilitating  
complication of Type 2 diabetes in some 30-40% of  
diabetic patients. Neurotrophic factors such as nerve  
growth factor (NGF) are known to promote survival of  
developing and adult neurons of the peripheral nervous  
15           system (PNS), and have also been evaluated as  
treatments for diabetic peripheral neuropathy. Some of  
the selective ligands of the neuroimmunophilin FKBP-12  
such as the small molecule GPI-1046, have also been  
shown to promote repair and regeneration in the central  
20           and peripheral nervous systems (Proc. Nat'l. Acad. Sci.  
USA 94 , 2019-2024, 1997).

          In this Example the potential therapeutic effects  
of GPI-1046 were evaluated for its ability to improve  
25           sensory function in the streptozotocin-induced diabetic  
rat. The procedure involved using Male Wistar rats  
which were given a single injection of streptozotocin  
(65 mg/kg i.v.). Blood glucose levels were determined  
weekly for the first three weeks and on the last week  
30           of the experiment. Animals were evaluated weekly for  
signs of sensory neuropathy using the conventional hot  
plate and tail flick apparatus test procedures. After

six weeks, treatment either with GPI-1046 or vehicle was initiated.

5       The results demonstrated that behavioral testing  
using the hot plate and the tail flick apparatus  
indicated improvement in latency in lesioned animals  
treated for 6 weeks with GPI-1046 at 10 mg/kg s.c. The  
results also showed that GPI-1046 ameliorates the  
behavioral sequelae of diabetic sensory neuropathy and  
10       may offer some relief for patients suffering from  
diabetic peripheral neuropathy.

15

Morris Watermaze/Aging and Memory Test Procedure

5

Aged rodents exhibit marked individual differences in performance on a variety of behavioral tasks, including two-choice spatial discrimination in a modified T-maze, spatial discrimination in a circular platform task, passive avoidance, radial maze tasks, and spatial navigation in a water pool.

10

15

20

In all of these tasks, a proportion of aged rats or mice perform as well as the vast majority of young control animals, while other animals display severe impairments in memory function compared to young animals. For example, Fischer and colleagues showed that the proportion of rats displaying significant impairments in spatial navigation increases with age, (Fischer et al. 1991b) with 8% of all 12 month old, 45% of 18 month old, 53% of 24 month old, and 90% of all 30 month old rats displaying impairments in spatial acquisition of the Morris watermaze task relative to young controls.

25

Specifically, rodent spatial learning and memory decline during aging has been accepted by many investigators as an intriguing correlative animal model of human senile dementia. Cholinergic function in the hippocampus has

been extensively studied as a component of spatial learning in rodents, and declining hippocampal cholinergic function has been noted in parallel with the development of learning and memory impairments. In addition, other neurotransmitter systems have been shown to contribute to spatial learning, and to decline with age, such as the dopaminergic and noradrenergic, serotonergic, and glutamatergic systems.

Also, reports on age-related deficits of hippocampal long-term potentiation (LTP)-induction, a reduction in theta rhythm frequency, a loss of experience-dependent plasticity of hippocampal place-units, and reductions in hippocampal protein kinase C are in keeping with the concept that no single underlying pathology can be identified as the cause of age-related behavioral impairment in rodents. However, the various experimental therapeutic approaches that have been undertaken to improve memory function in aged rodents have been somewhat slanted towards the cholinergic hypothesis.

The Morris watermaze is widely used for assessing spatial memory formation and retention in experimental animals. The test depends on the animal's ability to utilize spatial visual information in order to locate a submerged escape platform in a water tank. It is important that the tank itself be as devoid of specific visual features

as possible - thus, it is always circular in shape, the sides are kept smooth and in uniform dull colors, and the water is rendered opaque with nontoxic watercolour pigment or powdered milk. This is to ensure that the animal navigates only by the use of more distant visual cues, or by the use of intra-maze cues specifically provided by the experimenter.

The tank is filled to a level which forces the animal to swim actively. Normal mice and rats react aversively to the swimming part of the test and will climb onto, and remain on, an escape platform from which they are removed to a heated resting cage.

If the platform is visible (i.e. above the surface), animals placed in the tank will quickly learn to home in on the platform and climb out onto it. Testing with a visible platform will also ensure that the experimental animals are not blind and show sufficient motivation and stamina to perform the task, which can be important in experiments involving aged rodents. If the platform is invisible (i.e. submerged just below the surface), normal animals learn to use distant visual cues in the test room for orientation in the test tank, and, when placed in the tank, will quickly home in on the approximate location of the platform and circle in that area until the platform is found.

The animals' path, speed, and swim time are tracked with a ceiling camera for later computerized analysis. Over the course of several successive trials, spatial learning can therefore be defined as a drop of distance swum, or time elapsed, from placement in the tank until escape onto the invisible platform.

The test can be adapted to assess several aspects of spatial memory: a) acquisition of a cued task, where the animal's ability to link one visual cue directly with the escape platform depends on cortical function (i.e. a ball is suspended over the escape platform and the animal learns to follow this cue to find the platform); b) acquisition of a spatial task, where the animal's ability to learn the location of a submerged escape platform based on a combination of distant visual cues is dependent upon hippocampal function (i.e. the animal learns to triangulate its position in the tank by visually aligning the paper-tower dispenser with the door and ceiling lamp); c) retention of a successfully acquired spatial task, which is predominantly dependant on cortical function (i.e. the animal must remember the spatial location of the platform over several weeks); d) a hippocampus-dependant reversal task where the animals must reacquire a new spatial platform location (i.e. the platform is moved to a new location between swim trials

and the animal must abandon its previous search strategy and acquire a new one).

These different modifications of the Morris watermaze procedure can be applied in sequence to the same set of experimental animals and allow for a thorough characterization of their spatial memory performance and its decline with normal ageing. Moreover, such a series of sequential memory tests sheds some light on the functional integrity of the specific brain systems involved in the acquisition and retention of spatial memory (e.g. rats with cholinergic lesions of the hippocampus may remember a platform location acquired weeks before, but persevere over the old platform location after the platform is moved).

### Example 15

#### EFFECTS OF CHRONIC GPI-1046 ADMINISTRATION ON SPATIAL LEARNING AND MEMORY IN AGED RODENTS

This Example shows the effects of chronic treatment with the systemically available FKBP-ligand GPI-1046 on spatial learning and memory in aged rodents.

The procedure involved using three-month old (young) and 18-19 month old male C57BL/6N-Nia (aged) mice which

habituated to the well known and conventional Morris watermaze during a 4 trials/day, 3-4 day visible platform training phase. Subsequent spatial acquisition testing was conducting as follows: All mice were given 4 trials/day (block), for 5 days. Maximum swim time was 90 seconds. Aged mice were allocated to an "aged impaired" group if their performance during blocks 4 or 5 of the acquisition phase was  $>1$  S.D. above the mean of "young" mice, and to an "aged non-impaired" group if their performance was  $< 0.5$  S.D. above the mean of "young" mice. Aged groups were then split into statistically similar "GPI-1046" and "vehicle" groups.

Daily treatment with 10mg/kg GPI-1046 was initiated 3 days after the end of acquisition training, and continued through retention testing. Retention testing began after 3 weeks of dosing using the same methods as the acquisition phase. Swim Distances (cm) were analyzed in a 7 X 5 ANOVA including Groups and Blocks (1-5) as factors in the analysis, treating Blocks as a repeated measure.

The results showed that planned contrasts revealed that there were significant differences between the "young", and "aged impaired-vehicle and GPI-1046" treated groups at the end of the acquisition phase,  $F_{1,58} = 26.75$ ,  $P=0.0001$ , and  $F_{1,58} = 17.70$ ,  $P=0.0001$  respectively. While



there were no significant differences between the two "aged impaired" groups,  $F_{1,58} = 0.67$ ,  $P = 0.42$ . During retention testing, however, "aged impaired-vehicle" treated animals performed significantly poorer than "aged impaired - GPI-1046", and "young" animals,  $F_{1,59} = 8.11$ ,  $P = 0.006$ , and  $F_{1,59} = 25.45$ ,  $P = 0.0001$  respectively. There was no longer any statistically significant difference between the "young" and "aged impaired" - GPI-1046 treated groups during the retention phase,  $F_{1,59} = 3.09$ ,  $P = 0.08$ . In summary, systemic treatment with GPI-1046 significantly enhanced spatial memory performance of mice with age-related spatial memory impairments.

The invention being thus described, it will be obvious that the same may be varied in many ways. Such variations are not to be regarded as a departure from the spirit and scope of the invention and all  
5 such modifications are intended to be included within the scope of the following claims.

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## WE CLAIM:

1. A method for treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance in an animal, which comprises administering to said animal an effective amount of a pipecolic acid derivative.
2. The method of claim 1, wherein the pipecolic acid derivative has an affinity for an FKBP-type immunophilin.
3. The method of claim 2, wherein the FKBP-type immunophilin is FKBP-12.
4. The method of claim 1, wherein the pipecolic acid derivative is immunosuppressive or non-immunosuppressive.
5. The method of claim 1, wherein the vision disorder is selected from the group consisting of: visual impairments; orbital disorders; disorders of the lacrimal apparatus; disorders of the eyelids; disorders of the conjunctiva; disorders of the cornea; cataract; disorders of the uveal tract; disorders of the retina; disorders of the optic nerve or visual pathways; free radical induced eye disorders and diseases; immunologically-mediated eye disorders and diseases;

eye injuries; and symptoms and complications of eye disease, eye disorder, or eye injury.

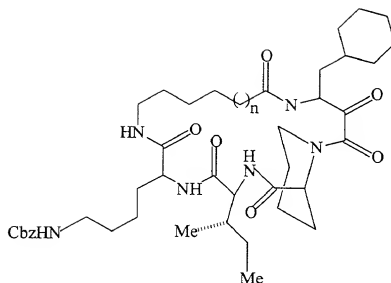
6. The method of claim 1, wherein the pipecolic  
5 acid derivative is Way-124,666.

7. The method of claim 1, wherein the pipecolic acid derivative is rapamycin.

10 8. The method of claim 1, wherein the pipecolic acid derivative is Rap-Pa.

9. The method of claim 1, wherein the pipecolic acid derivative is SLB-506.

15 10. The method of claim 1, wherein the pipecolic acid derivative is selected from the group consisting of:



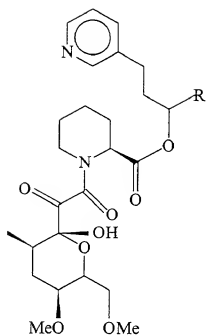
wherein n is 1; 2; or 3;

4-(4-methoxyphenyl)butyl (2S)-1-[2-(3,4,5-trimethoxyphenyl)acetyl]hexahydro-2-pyridinecarboxylate;

4-(4-methoxyphenyl)butyl (2S)-1-[2-(3,4,5-trimethoxyphenyl)acryloyl]hexahydro-2-pyridinecarboxylate;

4-(4-methoxyphenyl)butyl (2S)-1-[2-(3,4,5-trimethoxyphenyl)propanoyl]hexahydro-2-pyridinecarboxylate;

4-(4-methoxyphenyl)butyl (2S)-1-[2-oxo-2-(3,4,5-trimethoxyphenyl)acetyl]hexahydro-2-pyridinecarboxylate;



3-cyclohexylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

3-phenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

3-(3,4,5-trimethoxyphenyl)propyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(1R)-2,2-dimethyl-1-phenethyl-3-butenyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(1R)-1,3-diphenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

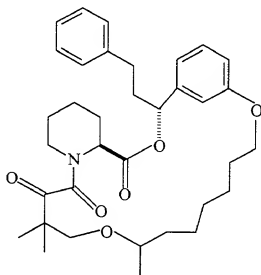
(1R)-1-cyclohexyl-3-phenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(1S)-1,3-diphenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(1S)-1-cyclohexyl-3-phenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(22aS)-15,15-dimethylperhydropyrido[2,1-c][1,9,4]dioxazacyclononadecine-1,12,16,17-tetraone;

(24aS)-17,17-dimethylperhydropyrido[2,1-c][1,9,4]dioxazacyclohenicosine-1,14,18,19-tetraone;

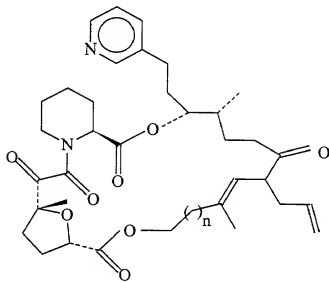


(3R,4R,23aS)-8-allyl-4,10-dimethyl-3-[2-(3-pyridyl)ethyl]-1,3,4,5,6,7,8,11,12,15,16,17,18,20,21,22,23,23a-octadecahydro-14H-pyrido[2,1-c][1,10,4]dioxazacycloicosine-1,7,14,17,18-pentaone;

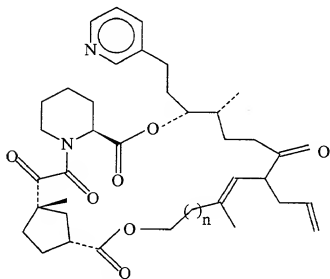
(3R,4R,24aS)-8-allyl-4,10-dimethyl-3-[2-(3-pyridyl)ethyl]-1,3,4,5,6,7,8,11,12,14,15,16,17,18,19,21,22,23,24,24a-icosahydropyrido[2,1-c][1,11,4]dioxazacyclohenicosine-1,7,14,18,19-pentaone;

(3R,4R,25aS)-8-allyl-4,10-dimethyl-3-[2-(3-pyridyl)ethyl]-1,3,4,5,6,7,8,11,12,15,16,17,18,19,20,22,23,24,25,25a-icosahydro-14H-pyrido[2,1-c]

[1,12,4]dioxazacyclodocosine-1,7,14,19,20-pentaone;

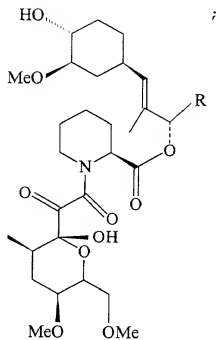


wherein n is 1; 2; or 3;

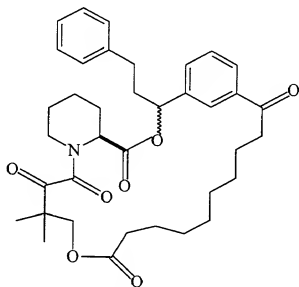


wherein n is 1; 2; or 3;





(1R)-1-(3-benzoylphenyl)-3-phenylpropyl (1R)-2-(3,3-dimethyl-2-oxopentanoate)cyclohexane-1-carboxylate;  
 (1R)-1-[3-(diallylcarbamoyl)phenyl]-3-phenylpropyl  
 (1R)-2-(3,3-dimethyl-2-oxopentanoate)cyclohexane-1-carboxylate;



ethyl 1-(2-oxo-3-phenylpropanoate)-2-piperidinecarboxylate;

ethyl 1-pyruvoyl-2-piperidinecarboxylate;  
ethyl 1-(2-oxobutanoyl)-2-piperidinecarboxylate;  
ethyl 1-(3-methyl-2-oxobutanoyl)-2-  
piperidinecarboxylate;  
ethyl 1-(4-methyl-2-oxopentanoyl)-2-  
piperidinecarboxylate;  
ethyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-  
piperidinecarboxylate;  
ethyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-  
piperidinecarboxylate;  
4-[2-(ethyloxycarbonyl)piperidino]-2,2-dimethyl-3,4-  
dioxobutyl acetate;  
ethyl 1-[2-(2-hydroxytetrahydro-2H-2-pyran-2-yl)-2-  
oxoacetyl]-2-piperidinecarboxylate;  
ethyl 1-[2-(2-methoxytetrahydro-2H-2-pyran-2-yl)-2-  
oxoacetyl]-2-piperidinecarboxylate;  
ethyl 1-[2-(1-hydroxycyclohexyl)-2-oxoacetyl]-2-  
piperidinecarboxylate;  
ethyl 1-[2-(1-methoxycyclohexyl)-2-oxoacetyl]-2-  
piperidinecarboxylate;  
ethyl 1-(2-cyclohexyl-2-oxoacetyl)-2-  
piperidinecarboxylate;  
ethyl 1-(2-oxo-2-piperidinoacetyl)-2-  
piperidinecarboxylate;  
ethyl 1-[2-(3,4-dihydro-2H-6-pyran-2-yl)-2-oxoacetyl]-2-  
piperidinecarboxylate;  
ethyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate;

ethyl 1-(4-methyl-2-oxo-1-thioxopentyl)-2-piperidinecarboxylate;

3-phenylpropyl 1-(2-hydroxy-3,3-dimethylpentanoyl)-2-piperidinecarboxylate;

(1R)-1-phenyl-3-(3,4,5-trimethoxyphenyl)propyl 1-(3,3-dimethylbutanoyl)-2-piperidinecarboxylate;

(1R)-1,3-diphenylpropyl 1-(benzylsulfonyl)-2-piperidinecarboxylate;

3-(3,4,5-trimethoxyphenyl)propyl 1-(benzylsulfonyl)-2-piperidinecarboxylate;

1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylic acid;

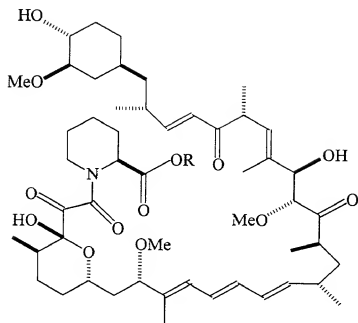
methyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;

isopropyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;

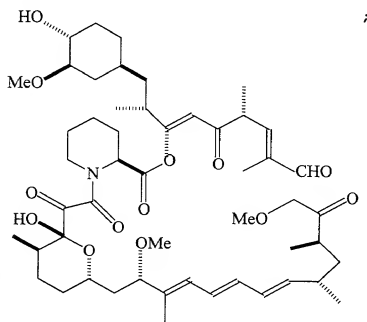
benzyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;

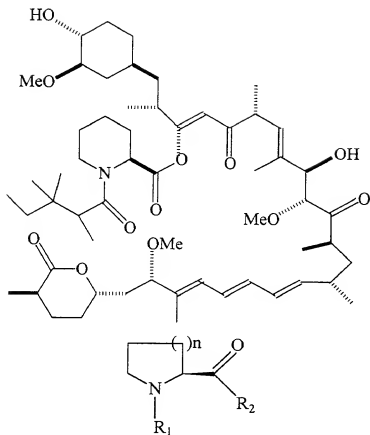
1-phenylethyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-

2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;  
 (Z)-3-phenyl-2-propenyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;  
 3-(3,4-dimethoxyphenyl)propyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;  
 N2-benzyl-1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;  
 N2-(3-phenylpropyl)-1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;

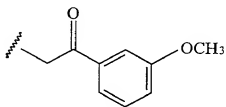
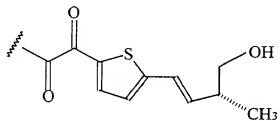


wherein R is methyl (Me); or benzyl (Bn);

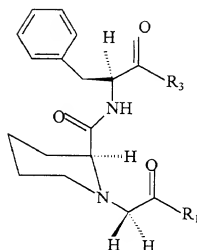




wherein

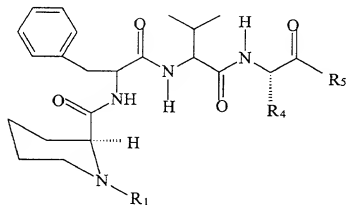
$$n = 2,$$
$$R_1 =$$


R<sub>2</sub> = Phe-o-tert-butyl;



wherein

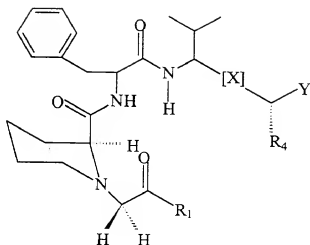
$R_1 = m\text{-OCH}_3\text{Ph},$	$R_3 = \text{Val-o-tert-butyl};$
$R_1 = m\text{-OCH}_3\text{Ph},$	$R_3 = \text{Leu-o-tert-butyl};$
$R_1 = m\text{-OCH}_3\text{Ph},$	$R_3 = \text{Ileu-o-tert-butyl};$
$R_1 = m\text{-OCH}_3\text{Ph},$	$R_3 = \text{hexahydro-Phe-o-tert-butyl};$
$R_1 = m\text{-OCH}_3\text{Ph},$	$R_3 = \text{allylalanine-o-tert-butyl};$
$R_1 = \text{B-naphthyl};$	$R_3 = \text{Val-o-tert-butyl};$



wherein	$R_1 = \text{CH}_2(\text{CO})-m\text{-OCH}_3\text{Ph}$
	$R_4 = \text{CH}_2\text{Ph}$
	$R_5 = \text{OCH}_3;$

or

$R_1 = \text{CH}_2(\text{CO})-\text{B-naphthyl}$
$R_4 = \text{CH}_2\text{Ph}$
$R_5 = \text{OCH}_3;$



wherein

$R_1 = m\text{-OCH}_3\text{Ph}$

$X = \text{trans-CH=CH}$

$R_4 = \text{H}$

$Y = \text{OC(o)Ph};$

$R_1 = \text{OCH}_3\text{Ph}$

$X = \text{trans-CH=CH}$

$R_4 = \text{H}$

$Y = \text{OC(o)CF}_3;$

$R_1 = m\text{-OCH}_3\text{Ph}$

$X = \text{trans-CH=CHI}$

$R_4 = -$

$Y = -;$

$R_1 = m\text{-OCH}_3\text{Ph}$

$X = \text{trans-CH=CH}$

$R_4 = \text{H}$

$Y = \text{OCH}_2\text{CH=CH}_2;$

$R_1 = m\text{-OCH}_3\text{Ph}$

$X = \text{C=O}$

$R_4 = \text{H}$

$Y = \text{Ph};$





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$$\begin{array}{lll} R_1 = H, & R_2 = \text{OMe, and} & R_3 = \text{CH}_2\text{OMe;} \\ R_1 = H, & R_2 = H, \text{ and} & R_3 = H; \\ R_1 = \text{Me,} & R_2 = H, \text{ and} & R_3 = H; \end{array}$$

2-oxopentanoyl)-2-piperidinecarboxylate;

(E)-3-(3,4,5-trimethoxyphenyl)-2-propenyl 1-(3,3-

dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 (E)-3-phenyl-2-propenyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 (E)-3-((3-(2,5-dimethoxy)-phenylpropyl)phenyl)-2-propenyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-(4-methoxyphenyl)butyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate;  
 3-phenylpropyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate;  
 3-(3-pyridyl)propyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate;  
 3-(3-pyridyl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-phenyl-1-(3-phenylpropyl)butyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-(4-methoxyphenyl)butyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 1-(4-methoxyphenethyl)-4-phenylbutyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 3-(2,5-dimethoxyphenyl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 3-(1,3-benzodioxol-5-yl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 1-phenethyl-3-phenylpropyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-(4-methoxyphenyl)butyl 1-(2-cyclohexyl-2-oxoacetyl)-

2-piperidinecarboxylate;  
3-cyclohexylpropyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate;  
3-phenylpropyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate;  
3-cyclohexylpropyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate;  
3-phenylpropyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate;  
4-(4-methoxyphenyl)butyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate; and  
4-phenyl-1-(3-phenylpropyl)butyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate; and

pharmaceutically acceptable salts, esters, and  
15 solvates thereof.

11. A pharmaceutical composition which comprises:  
(i) an effective amount of a pipecolic acid derivative for treating a vision disorder,  
20 improving vision, treating memory impairment, or enhancing memory performance in an animal; and  
(ii) a pharmaceutically acceptable carrier.

25 12. The pharmaceutical composition of claim 11, wherein the pipecolic acid derivative has an affinity for an FKBP-type immunophilin.

13. The pharmaceutical composition of claim 12,  
wherein the FKBP-type immunophilin is FKBP-12.

14. The pharmaceutical composition of claim 11,  
5 wherein the pipecolic acid derivative is  
immunosuppressive or non-immunosuppressive.

15. The pharmaceutical composition of claim 11,  
wherein the vision disorder is selected from the group  
10 consisting of: visual impairments; orbital disorders;  
disorders of the lacrimal apparatus; disorders of the  
eyelids; disorders of the conjunctiva; disorders of the  
cornea; cataract; disorders of the uveal tract;  
disorders of the retina; disorders of the optic nerve  
15 or visual pathways; free radical induced eye disorders  
and diseases; immunologically-mediated eye disorders  
and diseases; eye injuries; and symptoms and  
complications of eye disease, eye disorder, or eye  
injury..

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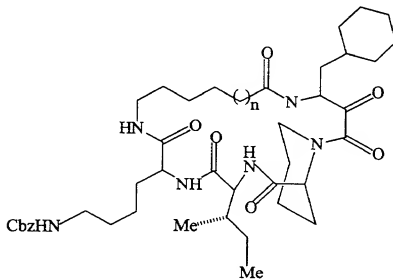
16. The pharmaceutical composition of claim 11,  
wherein the pipecolic acid derivative is Way-124,666.

17. The pharmaceutical composition of claim 11,  
25 wherein the pipecolic acid derivative is rapamycin.

18. The pharmaceutical composition of claim 11,  
wherein the pipecolic acid derivative is Rap-Pa.

19. The pharmaceutical composition of claim 11,  
wherein the pipecolic acid derivative is SLB-506.

20. The pharmaceutical composition of claim 11,  
5 wherein the pipecolic acid derivative is selected from  
the group consisting of:



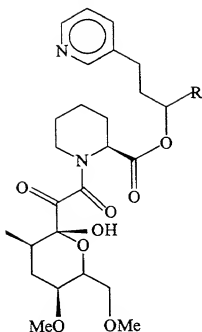
wherein n is 1; 2; or 3;

4-(4-methoxyphenyl)butyl (2S)-1-[2-(3,4,5-trimethoxyphenyl)acetyl]hexahydro-2-pyridinecarboxylate;

4-(4-methoxyphenyl)butyl (2S)-1-[2-(3,4,5-trimethoxyphenyl)acryloyl]hexahydro-2-pyridinecarboxylate;

4-(4-methoxyphenyl)butyl (2S)-1-[2-(3,4,5-trimethoxyphenyl)propanoyl]hexahydro-2-pyridinecarboxylate;

4-(4-methoxyphenyl)butyl (2S)-1-[2-oxo-2-(3,4,5-trimethoxyphenyl)acetyl]hexahydro-2-pyridinecarboxylate;



3-cyclohexylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

3-phenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

3-(3,4,5-trimethoxyphenyl)propyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(1R)-2,2-dimethyl-1-phenethyl-3-butenyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(1R)-1,3-diphenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

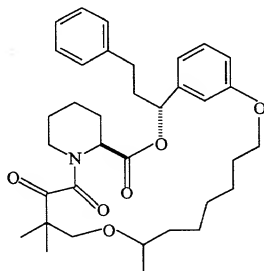
(1R)-1-cyclohexyl-3-phenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(1S)-1,3-diphenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(1S)-1-cyclohexyl-3-phenylpropyl (2S)-1-(3,3-dimethyl-2-oxopentanoyl)hexahydro-2-pyridinecarboxylate;

(22aS)-15,15-dimethylperhydropyrido[2,1-c][1,9,4]dioxazacyclononadecine-1,12,16,17-tetraone;

(24aS)-17,17-dimethylperhydropyrido[2,1-c][1,9,4]dioxazacyclohenicosine-1,14,18,19-tetraone;

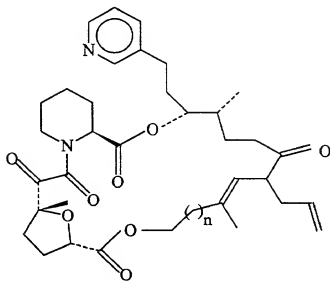


(3R,4R,23aS)-8-allyl-4,10-dimethyl-3-[2-(3-pyridyl)ethyl]-1,3,4,5,6,7,8,11,12,15,16,17,18,20,21,22,23,23a-octadecahydro-14H-pyrido[2,1-c][1,10,4]dioxazacycloicosine-1,7,14,17,18-pentaone;

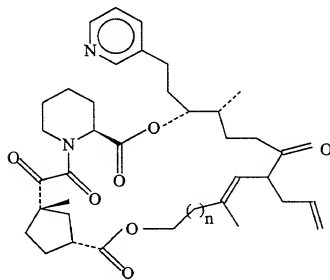
(3R,4R,24aS)-8-allyl-4,10-dimethyl-3-[2-(3-pyridyl)ethyl]-1,3,4,5,6,7,8,11,12,14,15,16,17,18,19,21,22,23,24,24a-icosahydropyrido[2,1-c][1,11,4]dioxazacyclohenicosine-1,7,14,18,19-pentaone;

(3R,4R,25aS)-8-allyl-4,10-dimethyl-3-[2-(3-pyridyl)ethyl]-1,3,4,5,6,7,8,11,12,15,16,17,18,19,20,22,23,24,25,25a-icosahydro-14H-pyrido[2,1-c]

[1,12,4]dioxazacyclodocosine-1,7,14,19,20-pentaone;

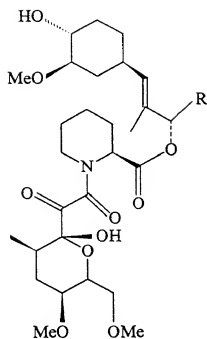


wherein n is 1; 2; or 3;

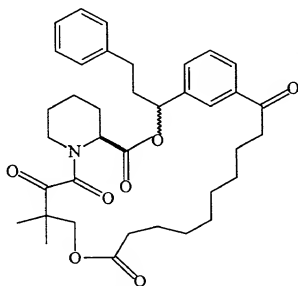


wherein n is 1; 2; or 3;





(1R)-1-(3-benzoylphenyl)-3-phenylpropyl (1R)-2-(3,3-dimethyl-2-oxopentanoate)cyclohexane-1-carboxylate;  
 (1R)-1-[3-(diallylcarbamoate)phenyl]-3-phenylpropyl  
 (1R)-2-(3,3-dimethyl-2-oxopentanoate)cyclohexane-1-carboxylate;



ethyl 1-(2-oxo-3-phenylpropanoate)-2-piperidinecarboxylate;

ethyl 1-pyruvoyl-2-piperidinecarboxylate;  
 ethyl 1-(2-oxobutanoyl)-2-piperidinecarboxylate;  
 ethyl 1-(3-methyl-2-oxobutanoyl)-2-piperidinecarboxylate;  
 ethyl 1-(4-methyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 ethyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate;  
 ethyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-[2-(ethyloxycarbonyl)piperidino]-2,2-dimethyl-3,4-dioxobutyl acetate;  
 ethyl 1-[2-(2-hydroxytetrahydro-2H-2-pyran-2-yl)-2-oxoacetyl]-2-piperidinecarboxylate;  
 ethyl 1-[2-(2-methoxytetrahydro-2H-2-pyran-2-yl)-2-oxoacetyl]-2-piperidinecarboxylate;  
 ethyl 1-[2-(1-hydroxycyclohexyl)-2-oxoacetyl]-2-piperidinecarboxylate;  
 ethyl 1-[2-(1-methoxycyclohexyl)-2-oxoacetyl]-2-piperidinecarboxylate;  
 ethyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate;  
 ethyl 1-(2-oxo-2-piperidinoacetyl)-2-piperidinecarboxylate;  
 ethyl 1-[2-(3,4-dihydro-2H-6-pyran-2-yl)-2-oxoacetyl]-2-piperidinecarboxylate;  
 ethyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate;

ethyl 1-(4-methyl-2-oxo-1-thioxopentyl)-2-piperidinecarboxylate;

3-phenylpropyl 1-(2-hydroxy-3,3-dimethylpentanoyl)-2-piperidinecarboxylate;

(1R)-1-phenyl-3-(3,4,5-trimethoxyphenyl)propyl 1-(3,3-dimethylbutanoyl)-2-piperidinecarboxylate;

(1R)-1,3-diphenylpropyl 1-(benzylsulfonyl)-2-piperidinecarboxylate;

3-(3,4,5-trimethoxyphenyl)propyl 1-(benzylsulfonyl)-2-piperidinecarboxylate;

1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylic acid;

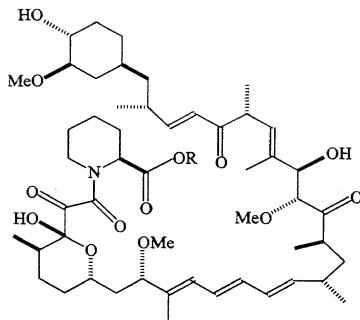
methyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;

isopropyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;

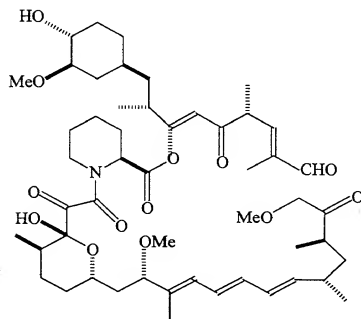
benzyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;

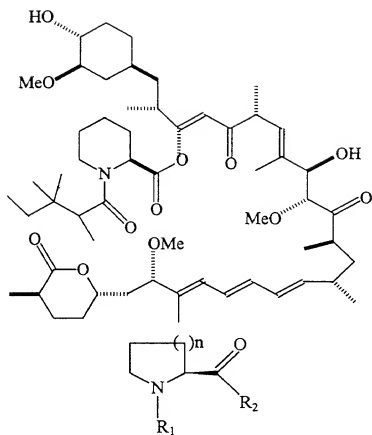
1-phenylethyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-

2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;  
 (Z)-3-phenyl-2-propenyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;  
 3-(3,4-dimethoxyphenyl)propyl 1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;  
 N2-benzyl-1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;  
 N2-(3-phenylpropyl)-1-(2-[(2R,3R,6S)-6-[(2S,3E,5E,7E,9S,11R)-2,13-dimethoxy-3,9,11-trimethyl-12-oxo-3,5,7-tridecatrienyl]-2-hydroxy-3-methyltetrahydro-2H-2-pyranyl)-2-oxoacetyl)-2-piperidinecarboxylate;

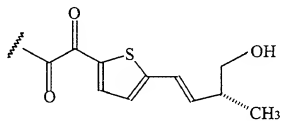


wherein R is methyl (Me); or benzyl (Bn);

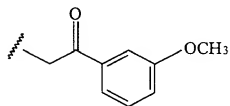




wherein  $n = 2$ ,  
 $R_1 =$

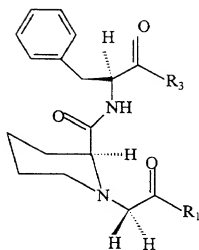


or



and

$R_2 = \text{Phe-o-tert-butyl}$ ;



wherein

$R_1 = m\text{-OCH}_3\text{Ph,}$

$R_1 = m\text{-OCH}_3\text{Ph,}$

$R_1 = m\text{-OCH}_3\text{Ph,}$

$R_1 = m\text{-OCH}_3\text{Ph,}$

$R_3 = \text{Val-o-tert-butyl;}$

$R_3 = \text{Leu-o-tert-butyl;}$

$R_3 = \text{Ileu-o-tert-butyl;}$

$R_3 = \text{hexahydro-Phe-o-tert-}$

butyl;

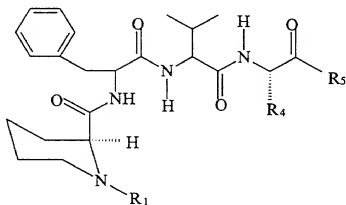
$R_1 = m\text{-OCH}_3\text{Ph,}$

$R_3 = \text{allylalanine-o-tert-}$

butyl;

$R_1 = \text{B-naphthyl;}$

$R_3 = \text{Val-o-tert-butyl;}$



wherein

$R_1 = \text{CH}_2(\text{CO})\text{-}m\text{-OCH}_3\text{Ph}$

$R_4 = \text{CH}_2\text{Ph}$

$R_5 = \text{OCH}_3;$

or

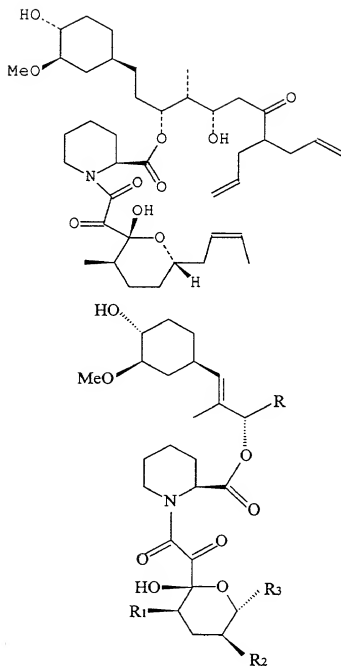
$R_1 = \text{CH}_2(\text{CO})\text{-B-naphthyl}$

$R_4 = \text{CH}_2\text{Ph}$

$R_5 = \text{OCH}_3;$







wherein

$R_1 = H,$        $R_2 = OMe,$  and       $R_3 = CH_2OMe;$   
 $R_1 = H,$        $R_2 = H,$  and       $R_3 = H;$   
 $R_1 = Me,$        $R_2 = H,$  and       $R_3 = H;$

(E)-3-(3,4-dichlorophenyl)-2-propenyl 1-(3,3-dimethyl-2-oxopentanoate)-2-piperidinecarboxylate;

(E)-3-(3,4,5-trimethoxyphenyl)-2-propenyl 1-(3,3-

dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 (E)-3-phenyl-2-propenyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 (E)-3-((3-(2,5-dimethoxy)-phenylpropyl)phenyl)-2-propenyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-(4-methoxyphenyl)butyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate;  
 3-phenylpropyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate;  
 3-(3-pyridyl)propyl 1-(2-oxo-2-phenylacetyl)-2-piperidinecarboxylate;  
 3-(3-pyridyl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-phenyl-1-(3-phenylpropyl)butyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-(4-methoxyphenyl)butyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 1-(4-methoxyphenethyl)-4-phenylbutyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 3-(2,5-dimethoxyphenyl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 3-(1,3-benzodioxol-5-yl)propyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 1-phenethyl-3-phenylpropyl 1-(3,3-dimethyl-2-oxopentanoyl)-2-piperidinecarboxylate;  
 4-(4-methoxyphenyl)butyl 1-(2-cyclohexyl-2-oxoacetyl)-

2-piperidinecarboxylate;  
3-cyclohexylpropyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate;  
3-phenylpropyl 1-(2-cyclohexyl-2-oxoacetyl)-2-piperidinecarboxylate;  
3-cyclohexylpropyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate;  
3-phenylpropyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate;  
4-(4-methoxyphenyl)butyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate; and  
4-phenyl-1-(3-phenylpropyl)butyl 1-(3,3-dimethyl-2-oxobutanoyl)-2-piperidinecarboxylate; and

pharmaceutically acceptable salts, esters, and solvates thereof.

5           21. The method of claim 1, which is for improving naturally-occurring vision in an animal, in the absence of any ophthalmologic disorder, disease, or injury.

          22. The pharmaceutical composition of claim 11,  
10 which is for improving naturally-occurring vision in an animal, in the absence of any ophthalmologic disorder, disease, or injury.

          23. The method of claim 1, wherein the pipecolic  
15 acid derivative is administered to said animal in combination with an effective amount of one or more factor(s) useful in treating vision disorders, improving vision, treating memory impairment, or enhancing memory performance in an animal.

20           24. The method of claim 23, wherein the one or more factor(s) is/are selected from the group consisting of immunosuppressants for treating autoimmune, inflammatory, and immunologically-mediated  
25 disorders; wound healing agents for treating wounds resulting from injury or surgery; antiglaucomatous medications for treating abnormally elevated intraocular pressure; neurotrophic factors and growth

factors for treating neurodegenerative disorders or stimulating neurite outgrowth; compounds effective in limiting or preventing hemorrhage or neovascularization for treating macular degeneration; and antioxidants for treating oxidative damage to eye tissues.

25. The pharmaceutical composition of claim 11, wherein the pipecolic acid derivative is administered to said animal in combination with an effective amount of one or more factor(s) useful in treating vision disorders, improving vision, treating memory impairment, or enhancing memory performance in an animal.

26. The pharmaceutical composition of claim 25, wherein the one or more factor(s) is/are selected from the group consisting of immunosuppressants for treating autoimmune, inflammatory, and immunologically-mediated disorders; wound healing agents for treating wounds resulting from injury or surgery; antiglaucomatous medications for treating abnormally elevated intraocular pressure; neurotrophic factors and growth factors for treating neurodegenerative disorders or stimulating neurite outgrowth; compounds effective in limiting or preventing hemorrhage or neovascularization for

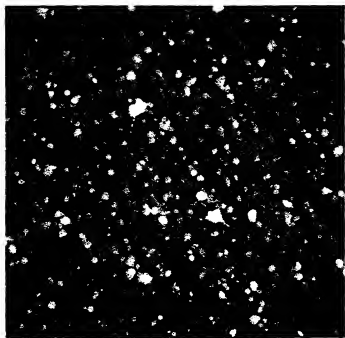
treating macular degeneration; and antioxidants for treating oxidative damage to eye tissues.

ABSTRACT OF THE DISCLOSURE

5        This invention relates to pharmaceutical compositions and methods for treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance using pipecolic acid derivatives.

Figure 1

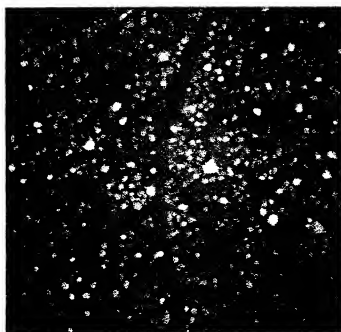
GPI 1046 protects ganglion cells against degeneration due to 1 hour of retinal ischemia  
Fluorogold labelled retinal ganglion cells in wholemount, 28 days after ischemic episode



Labelled retinal ganglion cells in the  
Normal central retina



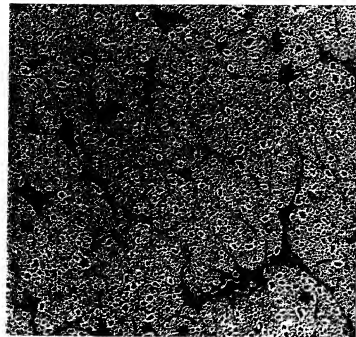
B. 1 hour of retinal ischemia produces  
extensive loss of ganglion cells



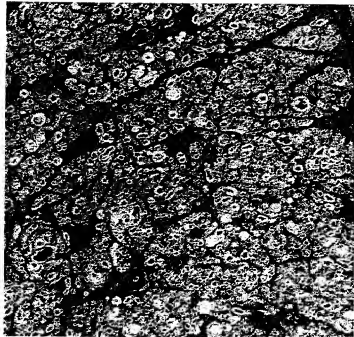
B. Administration of GPI 1046 1 hour before retinal ischemia  
and for 4 days subsequently produces significant protection of vulnerable retinal ganglion cells



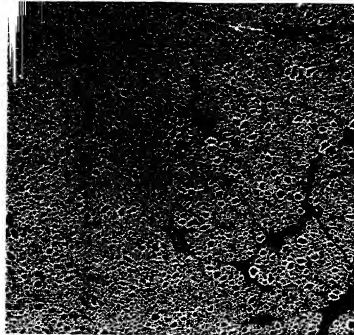
**Figure 2**  
**GPI 1046 Protects retinal ganglion cell axons and prevents myelin degeneration in the optic nerve induced by 1 hour of complete retinal ischemia, toluidine blue stained optic nerve cross sections, 630X**



**A. Normal optic nerve**



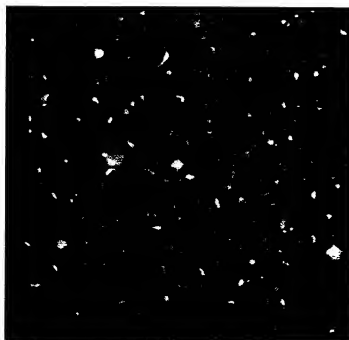
**B. Vehicle treated optic nerve 28 days after 1 hour complete retinal ischemia**



**C. GPI 1046 treated optic nerve 28 days after 1 hour complete retinal ischemia**

Figure 3

**GPI 1046 administration for 28 days  
provides only moderate protection of  
axotomized retinal ganglion cells**



Florogold labelled RGCs 90 days following transection,  
Treatment with vehicle alone for 1<sup>st</sup> 28 days

Florogold labelled RGCs 90 days following transection,  
Treatment with GPI 1046 for 1<sup>st</sup> 28 days  
Treatment with vehicle alone for 1<sup>st</sup> 28 days

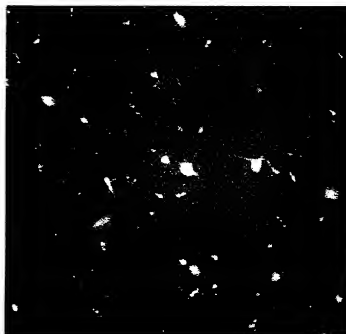


Figure 4

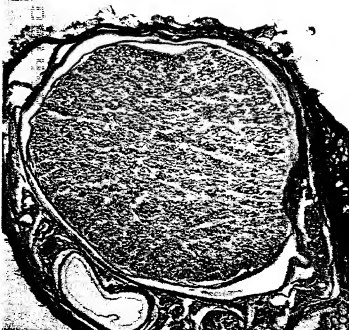
GPI 1046 prevents axonal degeneration in the proximal stump of the optic nerve  
RT97 neurofilament immunohistochemistry,  
optic nerve cross sections, 90 days after complete transection



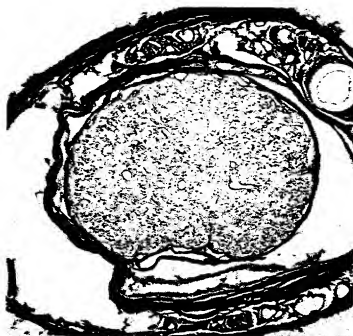
A. Sham



B. Optic nerve transection (ONT) 90 days survival



C. optic nerve 90 days after transection,  
GPI 1046 treatment days 1-28

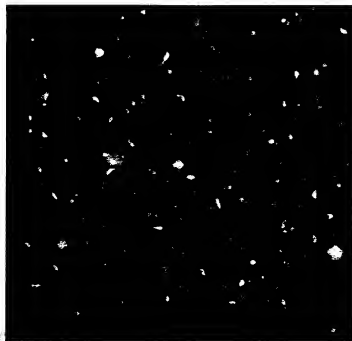


D. optic nerve 90 days after transection,  
GPI 1046 treatment days 1-14

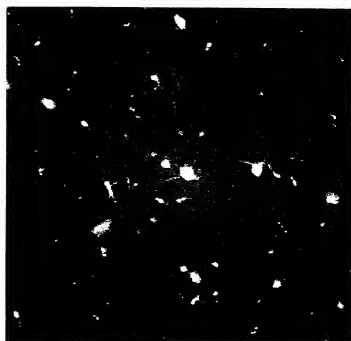
Figure 5

**GPI 1046 administration for 28 days provides only moderate protection  
of axotomized retinal ganglion cells**

Fluorogold labelled retinal ganglion cells 90 days following transection



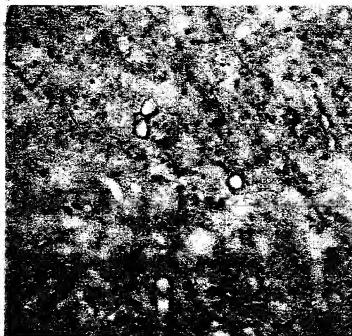
vehicle administered for 1<sup>st</sup> 28 days



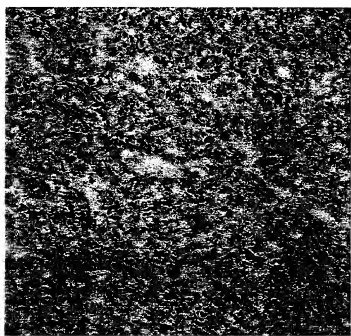
GPI 1046 administered for 1<sup>st</sup> 28 days

**GPI 1046 administration for 28 days preserves optic nerve axons  
of surviving retinal ganglion cells**

RT 97 neurofilament immunohistochemistry 90 days after transection



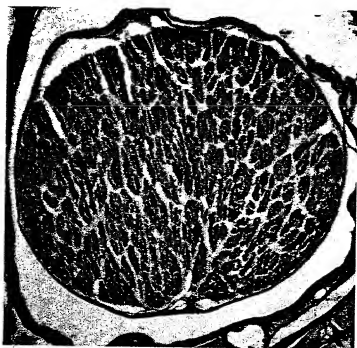
vehicle administered for 1<sup>st</sup> 28 days



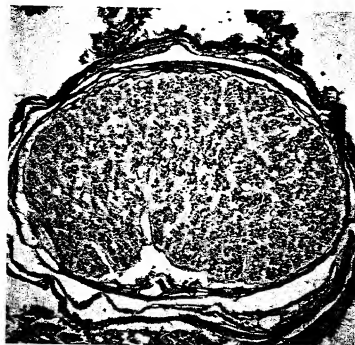
GPI 1046 administered for 1<sup>st</sup> 28 days

Figure 6

Preservation of myelin in the proximal stump of the optic nerve 90 days after transection  
14 vs 28 days treatment with GPI 1046 10mg/kg s.c.



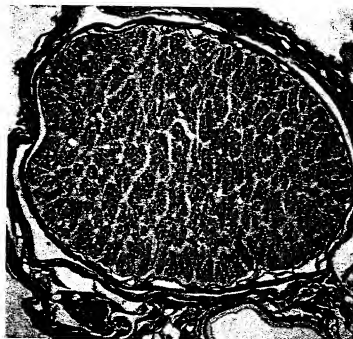
Normal( sham) Optic nerve



90 days after optic nerve transection, vehicle treated



90 days after optic nerve transection, 14 days GPI 1046



90 days after optic nerve transection, 28 days GPI 1046

myelin basic protein immunohistochemistry (SMI-94), 20X

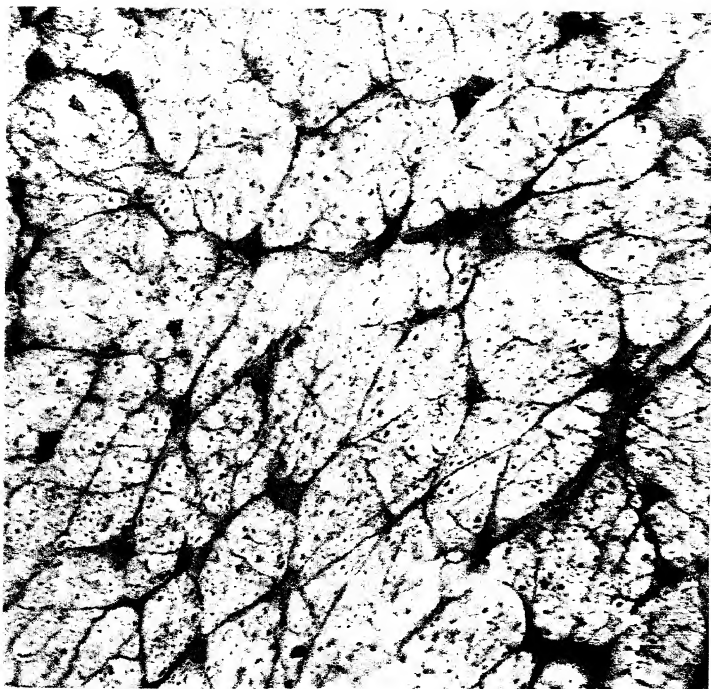
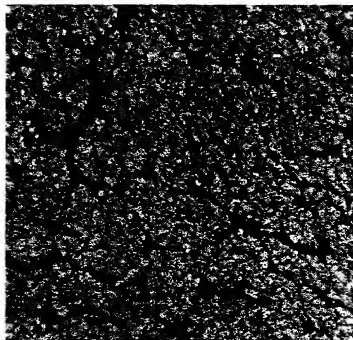


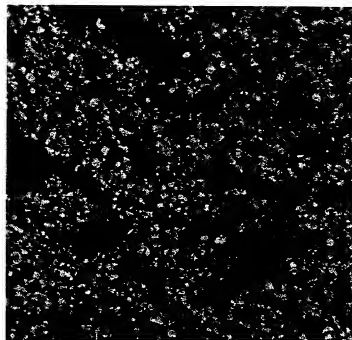
Figure 7

FKBP-12 immunohistochemistry labels oligodendroglia and axons in the normal optic nerve

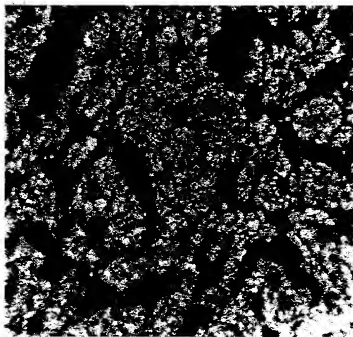
**GPI 1046 treatment prevents myelin degeneration  
in the distal stump of the optic nerve  
Myelin basic protein immunohistochemistry 90 days after transection**



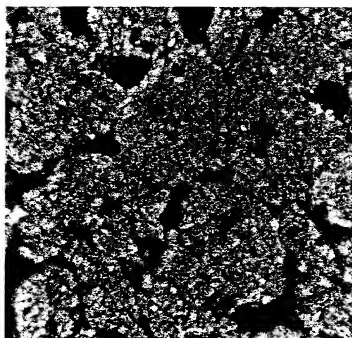
**A. Normal optic nerve**



**B. Distal optic nerve stump  
90 days after complete transection**



**C. Distal optic nerve stump  
90 days after complete transection  
GPI 1046 administered 1-14 days  
after transection**



**D. Distal optic nerve stump  
90 days after complete transection  
GPI 1046 administered 1-28 days  
after transection**

Figure 9

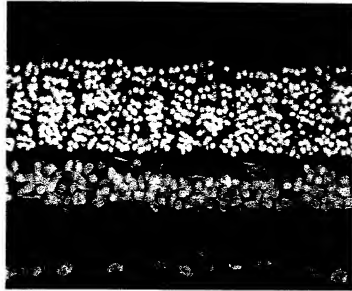
**GPI 1046 decreases neovascularization and prevents neuronal loss in the inner retinal in the Streptozotocin model of diabetic retinopathy**

**A. Normal  
retina  
Cross section  
Cresyl violet**

**Outer Nuclear  
layer (ONL)**

**Inner Nuclear  
layer(INL)**

**Ganglion cell  
layer (GCL)**

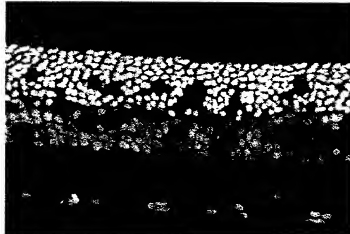


**B. retina from  
Streptozotocin  
/vehicle case**

**ONL**

**INL**

**GCL**

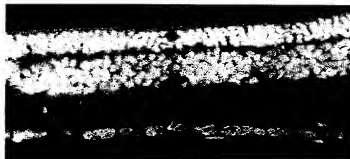


**C. Retina from  
Streptozotocin  
/GPI 1046 case**

**ONL**

**INL**

**GCL**





## DECLARATION FOR PATENT APPLICATION

Atty Docket 22789X-S

As a below-named inventor(s), I/we hereby declare that:

My/Our residence(s), post office address(es) and citizenship(s) is/are as stated below next to my/our name(s).

We believe we are the original first and joint inventors of the subject matter which is claimed, and for which a patent is sought on the invention entitled:

the specification of which: (check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_, as Serial No. \_\_\_\_\_,

and was amended on \_\_\_\_\_ 19\_\_\_\_ (if applicable).

I/We hereby state that I/we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose information which is material to the patentability of this application as defined by 37 CFR § 1.56.

I/We hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed:

## Prior Foreign Applications:

			Priority Claimed
(Application No.)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Application No.)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Application No.)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I/We hereby appoint Gary M. Nath, Reg. No. 26,965; Irvin A. Lavine, Reg. No. 16,838; Karen Lee Gieschowski, Reg. No. 31,621; Harold L. Novick, Reg. No. 26,011; Suet M. Chong, Reg. No. 38,104; Todd L. Juneau, Reg. No. 40,669; Patricia M. Drost, Reg. No. 29,790; Lee C. Heiman, Reg. No. P-41,827; Donald L. Sandler, Reg. No. 19,237; and Robert G. Lev, Reg. No. 30,280, as my attorneys to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

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I/We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by 35 U.S.C. § 112, first paragraph, I/we acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(U.S. Application Serial No.)	(U.S. Filing Date)	(Status--patented, pending, abandoned)
(U.S. Application Serial No.)	(U.S. Filing Date)	(Status--patented, pending, abandoned)

I/We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Date 8/13/98

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Date 8/13/98

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Inventor's Signature \_\_\_\_\_

Date \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Full name of sixth inventor: \_\_\_\_\_

Inventor's Signature \_\_\_\_\_

Date \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

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